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RESEARCH ARTICLE

THE COMBINATION OF FEATURE ORDE 1 (*STATISTICS FEATURE*), FEATURE ORDE 2 (*FEATURE HARALIC*) AND DISCRETE COSINE TRANSFORM (*DCT*) ON PATTERN ROCOGNITION OF FACE IMAGE.

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Abstract

Face is an identity to recognize a person, everyone can recognize faces well and distinguish individual faces that had been identified previously in any circumstances, however whether a computer system is able to do the same as humans? The matters should be studied and developed. One of ways to identify the face image is by extracting features including Statistic Feature, Feature Haralic and DCT (Discrete Cosine Transform). It is very necessary to analyze the performance of the three methods by determining which one has better value in pattern recognition of face image, the object that will be recognized is the object of the face image with the number of samples is 1400 images with seven categories: face image with orientation 15 ° to left, 0 ° and 15 ° to right. The first stage that will be carried out is the process of grayscale and continued with the performance of feature extraction that is the performance of statistics feature (Feature Order One) with a level of accuracy of 65.54% , Performance Haralic Feature (Feature Order Two) with the level of accuracy of 50.89%, a combination of performance of Discrete Cosine Transform (DCT) with Statistics feature (feature Order One) with a level of accuracy of 7:14%, and performance of combination of Discrete Cosine Transform (DCT) with Haralic feature (feature Order Two) with the level of accuracy of 11.6%.

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Introduction:-

Pattern Recognition is a study to learn a pattern feature that has previously been saved in a computer system, then a comparison is conducted to another pattern in order to determine the level of accuracy and similarity.

Pattern recognition can be divided into three things such as the mindset pattern, syntactic pattern, and the nervous pattern. Statistical pattern recognition is considered as statistical base, which is usually used for classification algorithm. Those techniques are to analyze the pattern structure of the image. Neural pattern recognition is a combination of statistical and syntactic recognition. In the nervous pattern recognition, the more frequent the system is trained, the better the system will be resulted from. This is part of the artificial neural network in identifying pattern (Gonzalez, 1992).

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The facial recognition system is kind of recognition systems that are difficult to do because level of face variation is so complex that the application will slow down the performance of system in recognizing a face. In contrast, the application of approach which is very complex will affect the accuracy of face recognition (Fadlisyah, 2013)

According Fadlisyah (2013) Statistic Feature can't work so well to recognize the distinction of images. Ardianto V (2015) says that feature Orde 1 (*Statistics feature*) includes *mean, variance, skewness, kurtosis, and entropy* that can't be accurately used as features extracted from citrus imagery for classification work as those are only analyzed by an image histogram. Meanwhile, Kamal M says that method of feature Orde 2 (*Feature Haralic*) can't work so well to group individual age with error possibility about to 33%. Based on the result of the study, both methods of feature extraction have yet to be able to work so well to recognize the image pattern. So another algorithm is needed to transform both feature extractions with aim at obtaining a better accuracy level, the Discrete Cosine Transform (DCT) transformation is used to transform the extracted features.

A wide range of computing technologies is becoming increasingly widespread after discovering a variety of feature extractions such as Feature Statistics, Feature Haralic, Gabor Filter, PCA (Principle Componet Analysis) and others.

In this study, a combination of Discrete Cosine Transform (DCT) is conducted with Feature Statistics and Feature Haralic is Feature Extraction

Literature Review:-

Face Recognition:-

Face recognition is one of biometric technologies that has been widely applied in security system besides the retina recognition, the fingerprints recognition and iris recognition. For the process, usually it uses a camera to capture a person's face, then compare to a face previously stored in the dataset (Rahim, 2013).

Grayscale:-

Image of *grayscale* is kind of images using gray color level. This is one of color in space R (red), G (green), and B (blue) having the same intensity value. The number of *bits* provided in memory affects the number of existing colors functioning to accommodate the color requirements.

Each pixel sample in the image *grayscale* can be saved into a 8-bit format which allows 256 intensities and colors defined as the value between 0 and 255, the value 0 is the darkest (black) and the 255 is the whitest. This format will help in programming because it can manipulate *bit* that is not too much. To make changes on the color image having the matrix value R, G and B to be image *grayscale* with value S, the conversion can be done by taking the average value of R (red), G (green), and B (blue) one (Zhou, H, 2010)

$$s = \frac{(1) \quad + g + b}{3}$$

Extraction of feature orde 1 (Feature Statistic):-

The Extraction of feature orde 1 (Feature Statistics) is a extraction method having feature based on the characteristic of an image histogram. This shows the probability of gray level value on an image. From the probability value, it can be calculated that some parameters of feature orde 1 is including mean, skewness, variance, kurtosis, and entropy.

Mean (μ):-

it shows the dispersion size of an image

$$\mu = \sum_n f_n p(f_n) \quad (2)$$

where f_n is a gray intensity value, while $p(f_n)$ indicate the histogram value (the probability of the intensity in the image).

Skewness ():-

It indicates the relative slope level of the histogram curve of an image

$$\alpha_3 = \frac{1}{\sigma^3} \sum_n (f_n - \mu)^3 p(f_n) \tag{3}$$

1. Variance ()

It indicates element variations on the histogram rather than an image

$$\sigma^2 = \sum_n (f_n - \mu)^2 p(f_n) \tag{4}$$

2. Kurtosis ()

it indicates relative cricket level of histogram curve on an image

$$\alpha_4 = \frac{1}{\sigma^4} \sum_n (f_n - \mu)^4 p(f_n) - 3 \tag{5}$$

3. Entropy (H)

it indicates the size of shape irregularity of an image

$$H = - \sum_n p(f_n) \cdot \log p(f_n) \tag{6}$$

Extraction of feature orde 2 (Feature Haralic):-

In 1973, Haralick introduced the Gray Level Co-occurrence Matrix (GLCM) in which GLCM functions to perform feature extraction. (Bino, 2012) GLCM uses texture calculations on second-order statistics.

The technique of extracting a statistic of feature orde 2 is by calculating the probability of an adjacency relation between two pixels at a certain distance and angle orientation. This approach works out by forming a matrix *kookurency* from image data.

The matrix *kookurency* is a square matrix with the number of elements as many as the square of the number of pixel intensity level in the image. Each point (p, q) in matrix *kookurency* oriented Θ has possiblity of a pixel that has value p adjacent to the pixel having value q at a distance d as well as the orientation Θ and $(180 - \Theta)$.

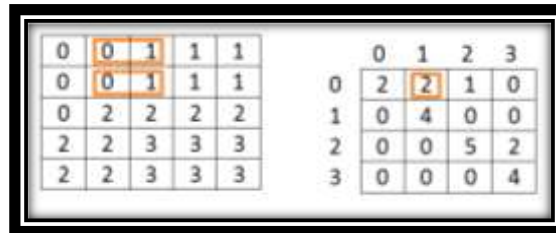


Figure 1:- On the left is image example with 4 gray levels,

On the right are results of GLCM on distance from 1 – 0 levels

After obtaining kookurensi matrixs, those can be calculated by some parameters of feature orde 2 included, *Angular Second Moment, Contrast, Correlation, Variance, Inverse Difference Moment, and Entropy*

Angular Second Moment:-

It shows the size of the homogeneity.

$$ASM = \sum_i \sum_j \{p(i, j)\}^2 \tag{7}$$

where $p(i, j)$ is the value of row i and column j on the matrix *kookurency*.

Contrast:-

It indicates the size of the dispersion (moment of inertia) of the elements of the image matrix. If the location is far from the main diagonal, the value of contrast is large. Visually, the value of contrast is a measure of variation among the gray levels of an image area.

$$CON = \sum_k k^2 \left[\sum_j k^2 \right] \quad (8)$$

$[i-j] = k$

Correlation:-

It shows the size of the linear dependence of gray level on image so as to provide clues for the existence of a linear structure on the image.

$$COR = \frac{\sum_i \sum_j (ij) \cdot p(i, j) - \mu_x \mu_y}{\sigma_x \sigma_y} \quad (9)$$

Variance:-

It indicates variations of elements of matrix *koocurency*. An image with a small gray transition will have a small variance as well.

$$VAR = \sum_i \sum_j (i - \mu_x)(j - \mu_y) p(i, j) \quad (10)$$

Inverse Different Moment:-

It indicates the homogeneity of similar gray images. Homogeneous image will have a high IDM price.

$$IDM = \sum_i \sum_j \frac{1}{1 + (i - j)^2} p(i, j) \quad (11)$$

Entropy:-

it shows the size of shape irregularity of high ENT price for images with transition of evenly gray level and has small value if the image structure is irregular (varies).

$$ENT_2 = - \sum_i \sum_j p(i, j)^2 \log p(i, j) \quad (12)$$

Discrete Cosine Transform (DCT):-

DCT was firstly introduced by Ahmed, Natarajan and Rao in 1974 and found in his paper entitled "On image processing and a discrete cosine transform". Discrete Cosine Transform (DCT) was used to convert a signal domain into a frequency domain (Anila, S 2010)

DCT 1 Dimension:-

DCT 1 Dimension C (u) is defined as follows.

$$C(u) = \sqrt{\frac{2}{n}} \alpha(u) \sum_{x=0}^{N-1} f(x) \cos \left[\frac{\pi(2x+1)u}{2N} \right] \quad (13)$$

For $u = 0, 1, 2, \dots, N-1$

In the same way, backwards DCT can be defined as follows.

$$f(x) = \sqrt{\frac{2}{n}} \sum_{u=0}^{N-1} \alpha(u) C(u) \cos \left[\frac{\pi(2x+1)u}{2N} \right] \quad (14)$$

For $x = 0, 1, 2, \dots, N-1$

With $\alpha(u)$ expressed as follows.

$$\alpha(u) = \begin{cases} \frac{1}{\sqrt{2}} & \text{for } u = 0 \\ 1 & \text{for } u \neq 0 \end{cases}$$

The numbers resulted by DCT transformation do not contain any imaginary elements. DCT of the image example 1 dimension $f(x) = (3, 4, 4, 5)$ is as follows

$$c(0) = \sqrt{\frac{2}{4}} \frac{1}{\sqrt{2}} \sum_{x=0}^3 f(x) \cos \left[\frac{\pi(2x+1)0}{8} \right]$$

$$= \frac{1}{2} (f(0) + f(1) + f(2) + f(3)) = \frac{1}{2} (3 + 4 + 4 + 5) = 8$$

$$c(1) = \sqrt{\frac{2}{4}} \frac{1}{\sqrt{2}} \sum_{x=0}^3 f(x) \cos \left[\frac{\pi(2x+1)1}{8} \right]$$

$$= \sqrt{\frac{1}{2}} (3(0,92) + 4(0,38) + 4(-0,38) + 5(-0,92)) = \sqrt{\frac{1}{2}} (-1,84) = -0,71$$

$$c(2) = \sqrt{\frac{2}{4}} \frac{1}{\sqrt{2}} \sum_{x=0}^3 f(x) \cos \left[\frac{\pi(2x+1)2}{8} \right]$$

$$= \sqrt{\frac{1}{2}} (3(0,71) + 4(-0,71) + 4(-0,71) + 5(-0,71)) = 0$$

$$c(3) = \sqrt{\frac{2}{4}} \frac{1}{\sqrt{2}} \sum_{x=0}^3 f(x) \cos \left[\frac{\pi(2x+1)3}{8} \right]$$

$$= \sqrt{\frac{1}{2}} (3(0,38) + 4(-0,92) + 4(-0,92) + 5(-0,38)) = -0,76$$

Thus, the image $f(x) = (3,4,4,5)$ after getting a cosine transformation 1 Dimension $C(u) = (8, 0.76, 0, -0.76)$.

Method:-

Datasheet:-

The data used in this study consisted of a set of file of face image used as training and a set of file of face image used as a test. The process of sampling used the Digital Camera XLR Nikon D90 with a distance of 2 meters, File of face image used was a file with format bmp. The reason for choosing this because the bmp image format was the standard default in image processing on the Windows operating system.

Research Stages :-

The research stages were conducted by analyzing the performance of feature orde 1 (*Feature Statistics*), performance of feature orde 2 (*Featuring Haralic*), Combination Performance of Feature orde 1 (Feature Statistics) and Feature orde 2, Combination Performance of *Discrete Cosine Transform* (DCT) with Feature orde 1 (*Feature Statistics*), and *Discrete Cosine Transform* (DCT) combination with Feature orde 2 (*Feature Haralic*) and combination performance between feature orde 1 (Feature Statistics), feature orde 2 (*Feature Haralic*) and *Discrete Cosine Transform* (DCT). By front facial orientation, the position of 15 ° left and right, 30 ° left and right and 45 ° left and right.

Recognition of Face Image with Extraction of feature orde 1:-

The steps of facial image recognition with extraction of feature order 1 can be seen in picture 2.



Figure 2:- Facial image recognition with Extraction of feature orde 1

At this stage, the system receives input face image, then the system will perform the process of grayscale to simplify the calculation where the input image has three channels that is channel R, G, B, after *Grayscale* works, the image will be a channel named as *Grayscale* channel, then that is reprocessed to perform extraction calculation of feature orde 1, after that calculating by training image pattern and testing image pattern, the recognizable face pattern is kind of face pattern of which calculation has highest percentage.

Facial Image recognition With Extraction of feature orde 2:-

The steps of facial image recognition with feature extraction of feature orde 2 can be seen in figure 3.

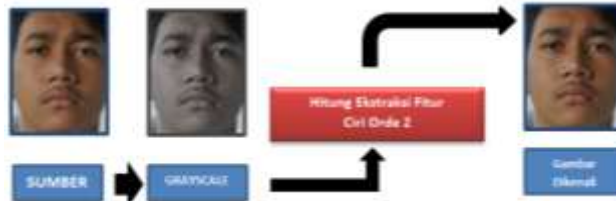


Figure 3:- Facial image recognition with

Extraction of feature orde :-

At this stage, the system functions to receive input face image, then the system will perform the process of *Grayscale* to simplify the calculation where the input image has three channels that is channel, R, G, B, after grayscale works, the image will be a channel named as *Grayscale* channel, then that is reprocessed to perform extraction calculation of feature orde 2, after that calculating by training image pattern and testing image pattern, the recognizable face pattern is kind of face pattern of which calculation has highest percentage.

Facial Image Recognition with Extraction Combination of feature orde 1and 2:-

The steps of facial image recognition with the extraction combination of feature orde 1 and 2 can be seen in picture 4.



Figure 4:- Facial image recognition with

The extraction combination of feature orde 1 and 2:-

At this stage, the system functions to receive facial image input, then the subsequent system will perform the process of *Grayscale* to simplify the calculation, where the input image has three channel of R, G, B, after *grayscale* work, the image will be a channel named as *Grayscale* channel, then that is reprocessed to calculate Extraction of feature order 1 and 2, after that calculating by training pattern and testing image one, the recognizable face pattern is kind of the face pattern of which the calculation has highest percentage.

Facial Image Recognition with the Extraction Combination of Feature orde 1 and DCT:-

The steps of face recognition with the extraction combination of feature orde 1 and DCT can be seen in figure 5.

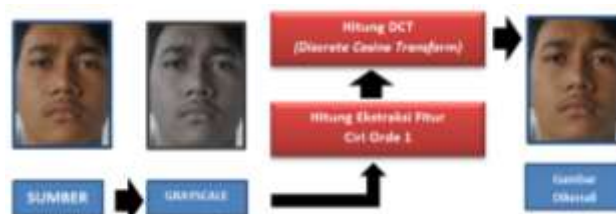


Figure 5:- Facial image recognition with the extraction combination of feature orde 1 and DCT features

At this stage, the system functions to receive facial image input, then the system will perform the process of *Grayscale* to simplify the calculation, where the input image has three channels that is channel R, G, B, after *Grayscale* works, the image will be a channel named as Grayscale channel, then that is reprocessed to calculate Extraction of feature order 1 after that transformed using *Discrete Cosine Transform* (DCT). The gained value weight will be calculated by training pattern and image testing pattern. The recognizable face pattern is the kind of face pattern that has calculation of the highest percentage.

Facial Image Recognition with the Extraction Combination of Feature Orde 2 and DCT:-

The steps of face recognition with the extraction combination of feature orde 2 and DCT can be seen in figure 6



Figure 6:- Face image recognition with the extraction combination of feature order 1 and DCT

At this stage, the system receives facial image input, then the system will perform the process of *Grayscale* to simplify the calculation where the input image has three channel that is R, G, B, after grayscale works, the image will be a channel named as Grayscale channel, then that is reprocessed to calculate Feature Extraction 2 after that transformed using *discrete cosine transform*. The gained value weight will be calculated by training pattern and image testing pattern. The recognizable face pattern is kind of face pattern of which calculation has the highest percentage

Facial Image Recognition with the Extraction Combination of feature orde 1, 2 and DCT:-

The steps of face recognition with the extraction combination of feature orde 1,2 and DCT can be seen in figure 7.



Figure 7:- Facial image recognition with The extraction combinations of feature orde 1, 2 and DCT

At this stage, the system function to receive face image input then the system will perform the process Grayscale to simplify the calculation where the input image has three channels that is channel R, G, B, after grayscale work, the image will be a channel named as *Grayscale* channel, then that is reprocessed to calculate Extraction of feature orde 1 and 2 after that transformed using *Discrete Cosine Transform* (DCT). The gained value weight will be calculated by training pattern and image testing pattern. The recognizable face pattern is kind of the face pattern of which the calculation has the highest percentage.

Results and Discussion:-

Results:-

The results of this research was formed of facial image recognition using the extraction of feature orde 1 and 2, combination of feature orde 1 and 2, Combination of Discrete Cosine Transfor (DCT) with feature orde 1. Combination of Discrete Cosine Transfor (DCT) with feature orde 2. Combination of Discrete Cosine Transfor (DCT) with feature orde 1 and 2.

The data sampling of face image used Nikon D90 SLR camera. This research was conducted at SMA Yayasan Pendidikan Shafiyatul Amaliyyah (YPSA) which is located at Jalan Setiabudi No 191 Medan Sunggal North Sumatra. The image used as the input only had a face object without any other objects. The number of samples was

1400 images which will be divided into two parts, 840 (60%) of the images were used for testing and 560 (40%) were used for training. The face images must be recognized as follows.

- 1. Face image with orientation 45 °, 30 °, 15 ° left.
- 2. Face image with orientation 0 °.
- 3. Face image with orientation 45 °, 30 °, 15 ° right.



Figure 8:- Samples of the face image from the digital camera.

Result of Feature Weight and Energy:-

The extraction of feature orde 1 or 2 extracted the result of *Grayscale* image so as to obtain feature weight, whereas DCT, the value contained in the value of feature orde 1 and 2 will be transformed to obtain an energy value. The weight of features and energy will be saved into the dataset which will be used as the matching weight when testing process. In this case, the sample of face images used as training data were 840 samples from 40 different face patterns.

The overall extraction results of feature orde 1, 2 and energy from *Discrete Cosine Transform* (DCT) can be seen in Figure 9.



Figure 9:- Extraction results of feature orde 1, 2 and energy from *Discrete Cosine Transform* (DCT)

Test Results:-

The test was conducted on 560 samples of face images with seven categories that was face image oriented 45 ° left, 30 ° left, 15 ° left, 0 °, 15 ° right, 30 ° right, 45 ° right. To simplify the complex calculation process, Borland-Delphi XE software is considerably needed as testing.

Each stage of image computing process was converted into program using Borland-Delphi XE software.

This functioned to speed up the processing and calculation of data computation of image input.

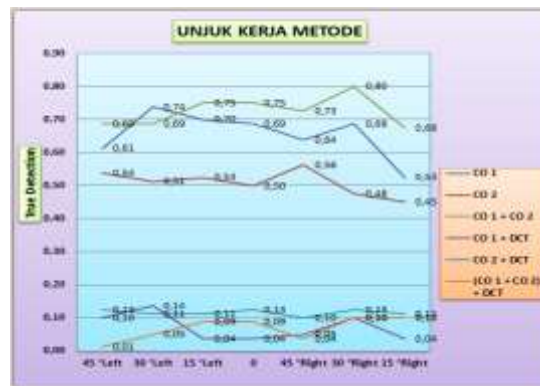
The figure 10 showed some object identification test results from the input image. but some of the test results of the sample image data had errors in the identification process.



Figure 10:- Results of facial image recognition

Measurement of Algorithm:-

Based on the results of research, the performance of the algorithm is very influential to the feature extraction stage, observation to the face pattern of reference for testing, the complexity of the object on the image and the success level of the algorithm in mapping the vector of the input face pattern. The face owner can be well-recognized by the method if the feature extraction value of the input pattern is almost similar to the feature extraction value of the test pattern. The results of each method discussed can be seen in Figure 10.



Results:-

In figure 11, the graph illustrated results of the facial pattern recognition on an image for each method having different level of accuracy. In the image with orientation 45 ° to the left, The Extraction of the feature 1 had an accuracy level of 61.25%, for feature extraction 2 had an accuracy level of 53.75%, for combination between feature orde 1 and 2 had accuracy level of 68.75%, combination of DCT with feature orde 1 had accuracy of 10% and combination DCT feature orde 2 had an accuacy of 12.5% ,meanwhile the combination of DCT with extraction of feature orde 1 and 2 obtained the lowest accuracy level of other methods of 1.25% . For an image orientated 45° to the left, the best method was a combination of feature order1 and 2 with a result of 68.75%.

From the image oriented a 30 ° to the left, extraction of feature orde 1 had an accuracy level of 73.75%, for extraction of feature orde 2 had accuracy level of 51.25%, for combination between feature orde 1 and 2 had accuracy level of 68.75%, DCT with a feature orde 1 had accuracy level of 13.75%, a combination of DCT with a feature order 2 had accuracy level of 11.25% meanwhile the combination of DCT with extraction of feature orde 1 and 2 obtained the lowest accuracy level of other methods that is 5%. For images with orientation a 30 ° to the left, the best method was the extraction of feature orde 1 with a result of 73.75%.

From the image oriented a 15 ° to the left, the extraction of feature orde 1 had an accuracy level of 70%, for the extraction of feature orde 2 had accuracy level of (52.25%), for the combination of feature 1 and 2 had accuracy level of 75%, DCT combination with feature orde 1 had accuracy level of 3,75%, DCT combination with feature orde 2 had accuracy level of 11,25%, meanwhile combination of DCT with the extraction of feature orde 1 and 2

obtained lowest accuracy of other methods of 8,75 %. For an image with orientation a 15 ° to the left, the best method was a combination between feature orde 1 and 2 with results of 75%.

From an image oriented 0 °, the extraction of features orde 1 had an accuracy level of 68.75%, for extraction of feature orde 2 had accuracy level of 50%, for a combination of feature orde 1 and 2 had accuracy level of 75%, DCT combination with feature orde 1 had accuracy level of (3,75%), DCT combination with feature orde 2 had accuracy level 12,5%, meanwhile combination of DCT with extraction of feature orde 1 and 2 obtained the lowest accuracy of other methods of 8.75% . For the image oriented 0 ° to the left, the best method is a combination between feature orde 1 and 2 with the result of 75%.

From an image oriented 15 ° to the right, the extraction of feature orde 1 and 2 had an accuracy level of 52.50%, for extraction of feature orde 2 had accuracy level of 45%, for a combination of feature orde 1 and 2 had accuracy level of 67,50%. DCT combination with feature orde 1 had accuracy of 3.75%, a DCT combination with feature orde 2 had accuacy level of 11.25%.. Meanwhile the combination of DCT with extraction of feature orde 1 and 2 orde obtained the lowest accuracy of the other methods that is 10%. For image oriented 15 ° to the right. The best method is a combination of feature orde 1 and 2 with results of 67,50%.

From an image oriented a 30 ° to the right, the extraction of feature orde1 had an accuracy level of 68.75% for extraction of feature orde 2 had accuracy level of 47,50%, for a combination of feature orde1 and 2 had accuracy level of 80%, DCT combination with feature orde 1 and 2 (10%), DCT combination with feature 2 had accuracy level of 12.50%, meanwhile the combination of DCT with extraction of feature orde1 and 2 obtained the lowest accuracy of the other method of 10%. For images oriented a 30% to the right, the best method is a combination of feature order 1 and 2 with results of 80 %.

From an image oriented a 45 ° to the right, the extraction of feature orde1 had an accuracy level of 63.75%, for feature extraction 2 had accuracy level 56.25%, for a combination of feature 1 and 2 had accuracy level of 72, 5%) for DCT combination with feature orde 1 had accuracy level 5%, DCT combination with feature orde 2 had accuracy level 10%. Meanwhile the combination of DCT with the extraction of feature orde1 and 2 had the lowest accuracy of the other methods that is 3.75 %. For an image oriented a 45 ° to the right. The best method is a combination of feature orde 1 and 2 with the result of (72.5%).

Discussion:-

From the result of the research, the combination of the extraction of feature orde 1 and 2 contributed to better facial recognition result than the extraction of feature orde 1 and 2. *Discrete Cosine Transform* (DCT) combination with feature 1, *Discrete Cosine Transform* (DCT) with feature orde 2 and a combination of *Discrete Cosine Transform* (DCT) with feature orde 1 and 2

Contributions:-

Based on the results on seven models tested such as feature orde 1 and feature orde 2, combination of feature orde 1 and 2, combination of feature orde 1 with *Discrete Cosine Transform* (DCT), combination of feature orde 2 with *Discrete Cosine Transform* (DCT) and a combination of feature orde 1, 2 and *Discrete Cosine Transform* (DCT),. So this research can propose the best model in facial image pattern recognition in various angles tested.

This research contributed to the recognition of facial image patterns in various angles by using a combination of extraction values on feature and image transformation to obtain better accuracy level and be eligible as the reference for further research within a combination of various extraction features

Conclusion:-

Based on the results of research, it can be concluded as follows:

1. Based on the research, face image can be identified by using the extraction of feature orde1 and 2, combination of feature orde 1 and 2, Combination of DCT with feature orde 1, combination of DCT with feature orde 2 and the combination of DCT with feature orde 1 and 2.
2. The facial image recognition for the feature order 1 had an accuracy level with the percentage of 65.54%, for the feature orde 2 had accuracy of 50.89%, for the combination of feature orde 1 and 2, had accuracy of 72.50% , for combination of feature orde 1 and *Discrete Cosine Transform* (DCT) had accuracy of 7.14%, for

combination of feature order 2 and *Discrete Cosine Transform* (DCT) had accuracy 11.61%, and for a combination of feature order 1 and 2 with *Discrete Cosine Transform* (DCT), had accuracy 6.79%.

3. From percentage above, the combination of *Discrete Cosine Transform* (DCT) against Feature Extraction is not suitable for facial image recognition.

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