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# Impact of Micellar Characteristics on the Dissolution and Efficacy of Anticancer Agents: A Review

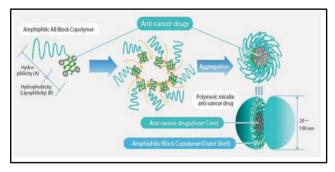
# Abstract

4 Micellar drug delivery system is one of the potentially efficient methods for increasing the solubility, stability, and bioavailability of hydrophobic anticancer agents. This review study investigates the 5 effects of major micellar properties on the solubility and therapeutic effectiveness of anticancer 6 medications, including size, shape, surface charge, and stability. The non-spherical micelles may 7 increase cellular uptake and lengthen circulation time, while smaller micelles may improve drug 8 solubility and tumor penetration as various studies suggested. The surface charge of particles is also a 9 critical factor to determine how they interact with cells. The stable micelles improve therapeutic 10 results by delaying the onset of drug release. Furthermore, controlled release of encapsulated 11 medications is possible with micelles, enhancing targeted delivery to tumor sites. Notwithstanding 12 the advantages, problems like long-term stability and early medication release still exist. The results 13 of this review study highlight the possibility of enhancing micellar properties to raise the 14 effectiveness of anticancer treatments, opening the door to more potent and focused cancer therapies. 15

Keywords: Micellar Characteristics, Dissolution, Drug delivery, Anticancer Agents, Surface
 charge.

# 18 Introduction

The poor solubility and limited bioavailability are common problems that anticancer agents face and 19 can significantly reduce their therapeutic efficacy and poses challenges in drug delivery. Looking to 20 the solution of these problems, micellar drug delivery systems have gained popularity among 21 researchers significantly. The micelles which are amphiphilic molecule-based colloidal carriers and 22 can improve the solubility, stability and targeting of hydrophobic anticancer medications. In this 23 24 review study, we have examined the effects of micellar properties on anticancer agent dissolution and therapeutic efficacy, including size, shape, surface charge, and stability. According to study, micelles, 25 by encapsulating hydrophobic drugs, enhance solubility, improve controlled release and target 26 specific tissues, thereby increasing drug efficiency and minimizing systemic toxicity (Jose, et al. 27 2014). In another study, a core-shell structure reported as a characteristic of several polymeric 28 micelles. In the pharmaceutical industry, majority of polymeric micelle research has focused on A-B 29 diblock copolymers, where A is the hydrophilic polymer (shell) and B is the hydrophobic polymer 30 (core) (Discher et al. 1999) as revealed in figure 1. 31



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Figure 1: Amphiphilic block copolymeric micelle (Discer, et al. 1999)

The hydrophobic core, usually made of a biodegradable polymer such as poly ( $\beta$ -benzyl-L-aspartate) (PBLA), poly (DL-lactic acid) (PDLLA), or poly ( $\epsilon$ -caprolactone) (PCL), protects the insoluble medication from the aqueous environment & keeps it in reserve. The core may also consist of a 41 water-soluble polymer, like poly(aspartic acid; P(Asp)), that has been chemically linked with a 42 hydrophobic drug to render it resistant (Mara, et al., 2015). The polymers are found in very small 43 amounts as mono chains. Chains of polymer start to come together to form micelles when 44 concentration hits a threshold value called the CAC. This guarantees that the copolymer's 45 hydrophobic component remains distinct from the aqueous medium in which it is diluted as 46 illustrated below in figure 2.

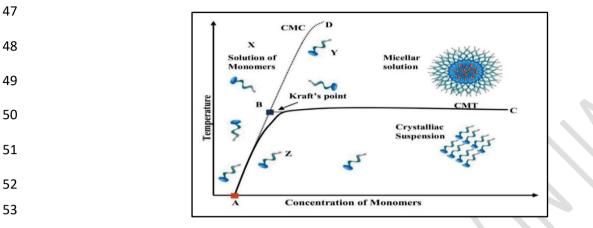


Figure 2: CMCs (Critical Micelle Concentrations) of the biodegradable block copolymers (Mohanty
 et al. 2014)

Micelles as described loose aggregates are with larger sizes than micelles produced at higher 56 concentrations, and a significant amount of solvent may be seen within the micellar core at the CAC 57 (Gao et al., 2010). The micelle formation would encourage at such concentrations as they adopt the 58 shape of their low energy state. As the remaining solvent is released from the hydrophobic core, the 59 micellar size will gradually decrease. Since ampphiphiles with high CAC are unstable in aquatic 60 environments and readily dissociate upon dilution, they may not be appropriate for use as drug 61 targeting devices. Physical trapping or chemical conjugation by emulsification or dialysis techniques 62 are two ways that insoluble medications might be incorporated into micelles as shown in figure 3. 63

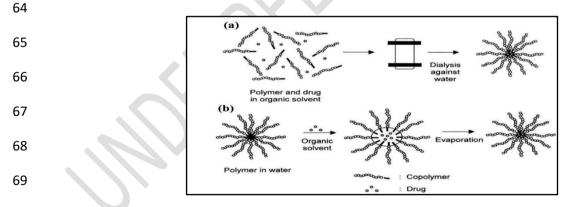


Figure 3: Polymeric micelles Drug loading by the (a) dialysis and (b) oil-in-water methods (Torchilin
 et al. 1992)

In their respective investigations, once the medication and micelles are simply equilibrated in water, there may not be much drug integrated. The mechanism by which specific groups on the medication and the hydrophobic polymer of the core combine to form a covalent link, like an amide bond, is known as chemical conjugation. Steric hindrance prevents these bonds from being readily hydrolyzed without the addition of spacer groups, making them resistant to enzymatic cleavage. The use of different medical imaging modalities in early cancer diagnosis is essential for cancer treatment. Theranostic agents are used in clinical diagnosis to distinguish diseased structures from surrounding

79 tissues by emitting a specific signal from the designated area of interest. Theranostic agent-loaded 80 polymeric micelles may circulate for a long time, which causes them to accumulate in malignant tissues more because of the EPR effect. This feature makes it easier to identify the tissues and allows 81 82 for real-time cancer diagnosis monitoring (Weissleder, 2006). A pH-responsive self-assembled mixed micelle of diethylene tri-amino penta-acetic acid dianhydride-gadolinium chelate (PEG-p(L-LA)-83 84 DTPAGd) and methoxy poly(ethylene glycol)-b-poly(L-histidine) (PEG-p(L-His)) amphiphilic block copolymers with a narrow size of ~ 40 nm were created by Kim et al. and as reported, within a few 85 minutes, these micelles show greater T1 MR contrast in the tumor diagnosis of female BALB/c nude 86

87 mice with CT26 murine tumors (Kim et al., 2014).

#### 88 Methodology: Combined Approaches

Various methodology has been employed during the course of existing research by investigators. 89 This has well explored the impact of micellar systems on the solubility, stability and therapeutic 90 91 efficacy of hydrophobic anticancer drugs. The methodologies employed in the various studies, cited the use of analytical techniques such as dynamic light scattering (DLS), transmission electron 92 microscopy (TEM) and zeta potential analysis for micellar characterization. Drug-loading and release 93 studies commonly utilized quantitative methods like UV-visible spectroscopy and high-performance 94 liquid chromatography (HPLC) to evaluate drug encapsulation efficiency and release kinetics under 95 physiological and tumor-mimicking conditions. Biological evaluations, including in-vitro 96 cytotoxicity assays, cellular uptake studies, and in-vivo animal models, were used to assess 97 therapeutic efficacy, biodistribution, and toxicity profiles of micellar systems compared to free drug 98 formulations. Additionally, experimental approaches to optimize stimuli-responsive micellar systems 99 100 for tumor-specific drug release accordance to pH, temperature or redox gradients have been utilized by researchers. Preclinical and clinical investigations demonstrated that advanced micellar systems 101 enhanced solubility, pharmacokinetics and therapeutic outcomes while reducing systemic toxicity. 102 103 By analyzing the methodologies and findings of such studies, it is fascinating to identifies the key 104 trends, challenges, and future directions in micellar drug delivery systems. It also appraises critical limitations, including stability in biological fluids, regulatory hurdles, and clinical translational 105 challenges, and offers a comprehensive perspective on the potential of micellar systems to improve 106 anticancer therapies under the wide domain of methodology. 107

## 108 Micelle Formation and Structure

The critical micelle concentration (CMC) is a parameter, used to characterize the physical properties 109 of a micelle, although it is actually an indication of its stability. The word was initially used to refer 110 to the main thermodynamic parameter of surfactant micelles, but in today's era also prefer to 111 highlight the stability of polymeric micelles. Distinguishingly, one would use the phrase critical 112 association concentration (CAC) to refer to polymeric micelles as against surfactant micelles 113 (Dowling and Thomas, 1990). In minimal concern, the polymers exist only as a mono chain and the 114 polymers chains start combining & forming micelles when the concentration achieve a critical value 115 known as CAC, thereby avoiding the contact of the water phobic part of the copolymer with the 116 aqueous medium in which the polymer is diluted. So, the micelles are loose aggregates that are larger 117 than those produced at higher concentrations, and the micellar core at the CAC contains a significant 118 amount of solvent (Gao et al., 2010). At such concentrations, the similar environment will support the 119 growth of micelles, which will adopt their low energy state structure and gradually release the 120 remaining solvent from the hydrophobic core, resulting in a reduction in micellar size. The high CAC 121 Amphiphiles are not the best drugs targeting compounds since they are unstable when exposed to 122 aquatic conditions and dissolve quickly when diluted. The formation of the micelle requires 123

association between hydrophobic and hydrophilic polymer chains; as mentioned earlier, the micelles 124 of randomly modified polymers are smaller than those of end-modified grafted polymers. Differences 125 in the diameters of random and end-modified copolymers could be explained based on the 126 differences in how these two forces are balanced. The major determining factors of micellar size are 127 the hydrophobic forces that confine the hydrophobic chains in the core and the excluded volume 128 repulsions between chains that limit the size (Chung, et al. 1998). Once, terminal hydrophobic groups 129 form micelles, the water clusters trapped around the hydrophobic segments are prevented from 130 entering the core. Moreover, there is no interaction between the core and the hydrophilic shell; rather, 131 they stay within the structure as mobile linear chains (Chung et al. 1998). In fact, the micelles 132 composed of polymers have a more pronounced stability compared with surfactant micelles with a 133 significantly reduced CMC as well as rate of dissociation. Consequently, the released drugs are held 134 within the drug-delivery vehicle for a more considerable period, eventually leading to drug 135 accumulation in the target region over time. In contrast, random modifications of the polymer interact 136 in such a way that the hydrophilic and hydrophobic parts of the polymer become entangled, allowing 137 possible contact between the core and the aqueous medium. In this case, the chains that makes up the 138 shell are fewer mobile. 139

# 140 Enhanced Efficacy of Anticancer Agents through Micelles

The use of micelles in anticancer therapy provides several advantages, such as improved drug 141 solubility, protection of the drug from degradation and enhanced accumulation in tumor tissues 142 through the EPR effect. Moreover, micelles can be modified to target specific cancer cells by 143 conjugating targeting ligands, such as antibodies or peptides, to their surface. The active pointing 144 approach also enhances the selective delivery of anticancer agents, reducing systemic toxicity and 145 improving overall therapeutic outcomes. For example, the anticancer drug doxorubicin, when 146 delivered via micelles, increased its cytotoxicity against tumor cells while minimizing side effects on 147 healthy tissues. Similarly, paclitaxel, a poorly soluble anticancer agent has been successfully 148 encapsulated in micelles significantly improving its bioavailability and antitumor activity. (Chen Y, 149 et al. 2013) 150

## 151 Challenges and Future Perspectives

Various investigating studies based on micellar drug delivery systems show the possibility, but still 152 various obstacles are yet to overcome. In vogue, early release of medications from micelles is one of 153 the main issues as it can reduce therapeutic efficacy and increase toxicity. Moreover, further research 154 in the respective area will enable to determine the long-term stability of micelles in biological 155 contexts. Developing stimuli-responsive micelles that only release their payload within the tumor 156 microenvironment may be the main goal of future micellar nanotechnology advancements. Also, 157 real-time tracking of medication distribution and therapeutic benefits may be possible by fabricating 158 multifunctional micelles that combine drug delivery and imaging capabilities, improving 159 personalized cancer treatment. 160

## 161 Barriers in Oral delivery of Anticancer Drugs

Many variables influence the oral bioavailability of a drug, including the water solubility of drug, stability in the gastrointestinal tract, intestinal epithelial accessibility, stability of intestinal and liver cytochrome P450 (CYP) metabolic proteins and stability to the P-glycoproteins (P-gp) efflux pump. On the basis of the above, one can categorize the primary obstacles to oral administration as either the physiological limitations imposed by the body or the physicochemical characteristics of the medications themselves. (Thanki, et al. 2013). In general, the medications are categorized into four

groups, Class I to Class IV, according to the Biopharmaceutical Classification System (BCS), the 168 primary physicochemical qualities that impact the oral bioavailability of the pharmaceuticals are their 169 solubility and permeability. The majority of anticancer drugs cannot be taken orally because they 170 belong to one or two classes i.e. class II, with high permeability and poor solubility or class IV, 171 which has low permeability but low solubility. Proteotaxel, docetaxel, methotrexate (MTX) and 172 etoposide are examples of class IV drugs, while resveratrol, tamoxifen, and serofenib are examples of 173 class II pharmaceuticals (Banna, et al., 2010). There are some parameters that the investigators took 174 into account during the study: 175

Solubility: As one of the established parameters, the solubility is a fundamental component of cancer 176 chemotherapy. The medication that is given orally or intravenously needs to have a better oral 177 absorption rate or be soluble in blood. Because most anticancer medications are hydrophobic, their 178 solubility is low, leading to a poor therapeutic effect. Anticancer medications such as resveratrol, 179 tamoxifen, gefitinib, and others require improved solubility to avoid low bioavailability (Mohanty, et 180 al. 2014 & Negut, et al. 2023). The therapeutic applications of flutamide and resveratrol anticancer 181 drugs from BCS class II are limited because of their less aqueous solubility, which makes it difficult 182 183 to formulate them as oral dosage forms (Banna, et al. 2010).

Permeability: In order for anticancer drugs used in oral cancer treatment to reach systemic drug 184 185 concentration, they must have high intestinal epithelial permeability and be stable. For drugs to be absorbed via the epithelium, two important characteristics are how well they dissolve in water and 186 how ease they percolate through cell membranes. Oral distribution of BCS class IV anticancer 187 medicines like Paclitaxel has been challenging due to their limited solubility and permeability. 188 Doxorubicin, an anticancer medication of BCS class III, has limited oral administration due to its low 189 permeability. Golla K., et al. 2013 developed doxorubicin-loaded protein nanoparticles to treat 190 hepatocellular carcinoma in order to get around this permeability problem. Doxorubicin's 191 permeability was increased in order to maximize its oral bioavailability. 192

Macrophages uptake: When monocytes split, macrophages i.e. white blood cells are created, which 193 are present in tissues. The width of a human macrophage is roughly 21 micrometers. The crucial 194 function of macrophages is to locate foreign substances that enter into the bloodstream, swallowing 195 and assimilating them. It serves also as a protective barrier to prevent infections from entering the 196 bloodstream and attacking the body. Chemotherapy may be hampered by this since the anticancer 197 medications may be interpreted as foreign objects by the macrophages, resulting in incredibly subpar 198 treatment. (Deepak, et al. 2011). The tumor cells that have developed resistance to the cytostatic or 199 cytotoxic effects of various drugs commonly used in cancer chemotherapy are known as multidrug-200 resistant organisms (MDRs). The most accepted explanation for multidrug resistance (MDR) is the 201 over expression of ATP-binding cassette (ABC) transporters, which cause tumor cells to reject a 202 series of chemotherapy drugs. Three notable ABC transporters which interacts with MDR are MDR-203 204 associated proteins, ABC-G2 protein, and P-gp. P-gps are large glycosylated membrane proteins that are primarily limited to the cell's plasma membrane. They are thought to be the most important 205 transporters that reduce the anticancer effect of medications. By dynamically ATP-dependently 206 expelling cytotoxic drugs from the cell, they confer drug resistance and reduce drug aggregation in 207 cancer cells. The majority of significant anticancer medications, such as vinca alkaloids, taxanes, 208 epipodophyllotoxins and anthracyclines are impacted by MDR. 209

## 210 Futuristic Trends in Oral Delivery of Anticancer Drugs

Despite the problems encountered in the practice of cancer therapy, oral administration of a few anticancer drugs has been explored with their therapeutic efficiency and safety. This predominantly practiced by delivering simultaneously an active agent, a functional excipient, a metabolism inhibitor, and/or an anticancer drug. It either makes easier the passing through the GIT for the anticancer drug or defeats the biological obstacles that stand as obstacles against this process. It has been possible to provide many anticancer medications that otherwise could not be given orally with great success using several methods. Figure 4 depicts several methods that may be used to boost the oral bioavailability of anticancer medications.

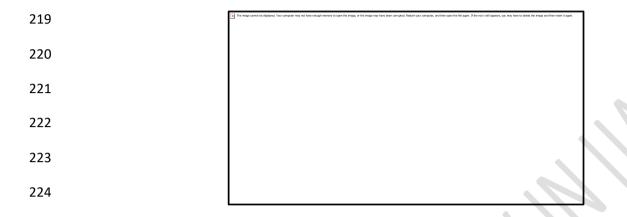


Figure 4: Different techniques to enhance the oral bioavailability of anticancer drugs (Thanki, et al 2013)

#### 227 Conclusion

In the review, we comprehensively investigate the significant role of micellar characteristics 228 including size, surface charge, shape and stability-in optimizing the dissolution and therapeutic 229 efficacy of hydrophobic anticancer agents based on the findings of the investigators. The key findings 230 highlight that micellar systems address the inherent challenges of poor solubility and bioavailability 231 associated with many anticancer drugs. The smaller micellar sizes enhance the solubility and tumor 232 penetration of the drug, while non-spherical morphologies improve cellular uptake and prolong 233 circulation time. The surface charge significantly influences micelle-cell interactions with cationic 234 surfaces that often promote cellular internalization, although neutral or slightly negative charges may 235 236 reduce non-specific interactions in systemic circulation. The stability, governed by factors such as critical micelle concentration (CMC) and polymer composition, ensures controlled drug release, 237 preventing premature leakage and enhancing accumulation at tumor sites via the EPR effect. Despite 238 these advantages, challenges continue, including premature drug release during systemic circulation, 239 long-term stability in biological environments and scalability for clinical translation. Future 240 advancements should be focused on stimuli-responsive micelles that release payloads selectively in 241 the tumor micro-environments (pH or redox-sensitive systems) and focus on multifunctional designs 242 integrating imaging agents for real-time therapeutic monitoring. Additionally, optimizing ligand-243 conjugated micelles for actively targeting can further reduce off-target toxicity and improve 244 therapeutic precision. In conclusion, tailoring micellar properties presents a transformative strategy to 245 enhance anticancer drug delivery. By addressing the current limitations and leveraging emerging 246 247 technologies, micellar systems have immense potential to advance personalized, effective and safer cancer therapies. 248

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