## ADVANCES IN THE USE OF MICROFOCUSED ULTRASOUND FOR FACIAL REJUVENATION

# 5 Abstract

The demand for non-invasive technologies to address facial rejuvenation and achieve a lifting effect has increased significantly over the past decades. Micro-focused ultrasound is a technology capable of inducing microthermal lesions at varying tissue depths, including the superficial musculoaponeurotic system (SMAS) and the dermis. Following the formation of these lesions, determined by power adjustment and the precise delivery of concentrated energy across different layers, a healing process is initiated, ultimately leading to SMAS contraction and dermal restoration, primarily associated with collagen synthesis. Accordingly, this study aimed to evaluate an application strategy utilizing micro-focused ultrasound on the facial region and to assess the outcomes resulting from this therapeutic approach. The findings demonstrated improved tissue support, particularly in the subocular region, temples, and nasolabial fold. Thus, it can be concluded that the use of micro-focused ultrasound on the facial region is a safe and effective therapeutic approach for treating skin aging, as it enhances tissue support and restores the tissue matrix, which also contributes to improved skin laxity. 

- '
- 28 Keywords: rejuvenation, ultrasound, skin regeneration, dermal matrix

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# 38 INTRODUCTION

The skin aging process is multifactorial, affecting all skin layers and 39 40 resulting in a significant degradation of collagen, a key structural component of the dermal matrix. The loss of intrinsic structural components results in the 41 appearance of wrinkles and expression lines, often accompanied by local laxity, 42 factors that directly impact the aesthetic appearance and overall quality of life 43 of individuals. Notably, several other factors contribute to the onset and 44 progression of this process, including hormonal influences, lifestyle habits, 45 dietary behaviors, and external factors such as prolonged exposure to UVA and 46 UVB radiation (1,2). 47

48 Currently, there is a growing preference for non-invasive techniques that 49 achieve comparable rejuvenation effects while minimizing post-procedural 50 downtime. As a result, continuous technological advancements are being 51 developed to meet the increasing demand in this sector. Among the available 52 technologies, micro-focused ultrasound has demonstrated remarkable progress 53 in both development and clinical application (3–5).

54 This technology relies on the emission of ultrasound-generated mechanical waves that are precisely focused on specific points with high energy 55 concentration, allowing for penetration at different depths. The technological 56 design that enables this precision consists of a carefully engineered metallic 57 structure with an exact concavity, ensuring the mechanical wave is directed to a 58 single focal point with mathematically calibrated penetration depth. The 59 treatment depth is determined by the targeted skin layers, utilizing spacers 60 calibrated at 4.5 mm, 3.0 mm, and 1.5 mm to safely reach from the superficial 61 musculoaponeurotic system (SMAS) down to the papillary dermis (6–12). 62

Due to the high-energy concentration delivered to a precise focal point, 63 the resulting biological effect is thermal damage. Therefore, treatment protocols 64 65 must account for the target area size and the number of pulses applied. Additionally, the quality of the treated tissue influences the outcome, as 66 67 effective healing is essential to sustain the lifting effect observed immediately after treatment. The healing process associated with this type of lesion involves 68 69 localized inflammation and biochemical signaling of factors responsible for cell 70 differentiation and proliferation, with the most notable event being collagen 71 synthesis, which contributes to the formation of a new tissue matrix (13,14).

Clinically, this treatment is advantageous as it is safe, effective, painless,and free from significant adverse effects, since only internal tissue layers are

targeted, leaving the outer skin surface unaffected. However, despite extensive
literature on the subject, gaps remain regarding the optimal application
methodology.

Some strategies are based on linear application, dictated by the emission mode
of certain devices, whereas advancements in modern applicators allow
practitioners greater flexibility to align treatment with muscle fiber direction
and the SMAS, thereby enhancing the lifting effect.

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## 82 TECHNOLOGICAL EVOLUTION

Micro-focused ultrasound technology aims to emit targeted energy at 83 single points capable of producing a thermal microlesion in the target tissue. 84 Some equipment has an electronic form of emitting this energy, in lines, but 85 requires cartridges that have a limited number of shots because the half-life of 86 the crystal is compromised. This condition results in additional equipment costs 87 that will result in added value in the therapeutic protocol. Currently, innovation 88 89 in the development of micro-focused ultrasound equipment allows the production of this energy emission at no additional cost and without shot 90 limits, in addition to preserving the half-life of the piezoelectric crystal that 91 maintains the quality of the equipment for life, without the need for frequent 92 as presented by VISAGE® equipment, developed, 93 replacements and manufactured by the Brazilian Medical Equipment Industry - IBRAMED 94 95 (Figure 1).



**111** Figure 1 - Technological characteristics of VISAGE® equipment

With this equipment it is possible to achieve different depths in the target 113 tissue. In the case of this study, was used three types of applications, with 114 distance of 4.5, 3.0 and 1.5 mm, which can deliver the energy of interest, from 115 the layer of the musculoaponeurotic system (SMAS) to the layer of the papillary 116 and reticular dermis, considered more superficial (Figure 2). The emission of 117 punctual energy, in this case, comes from a metal concavity present in the tip of 118 the applicator, which allows the correct direction of this heat in the tissue layers, 119 with the entire process only being possible due to precise mathematical 120 calculations. In addition, the equipment offers therapeutic advantages through 121 the possibility of different emission modes, punctual or scanning, associated 122 with the therapist's freedom of choice for application in lines or even in circular 123 movements, aiming in this case at tissue anchoring methods. With technological 124 125 innovation and the autonomy of the professional regarding the application strategy, new and interesting therapeutic approaches emerge that benefit from 126 microfocused ultrasound and expand to different areas that target facial 127 treatment. 128



- 139 *Figure 2 Demonstration of the depth of action in the target tissue for each applicator*
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#### 141 METHODOLOGY

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### 142 Type and Location of Research

This case study was conducted at Casal da Beleza Clinic – Personalized
Aesthetics in collaboration with the Research Group of Brazilian medical
equipment industry – IBRAMED.

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### 147 Clinical Protocol

148 The protocol application adhered to the safety guidelines provided by the manufacturer, considering the demarcated areas illustrated in Figures 1 and 149 2. Both application modes available in the equipment-point mode and 150 151 scanning mode-were utilized for this protocol. Additionally, three spacers 152 measuring 4.5 mm, 3.0 mm, and 1.5 mm were employed to target tissue layers ranging from the SMAS to the superficial dermis. As the protocol aimed to 153 154 achieve a lifting effect followed by rejuvenation, the therapeutic strategy began with point mode application using 4.5 mm and 3.0 mm spacers on the midface 155 region. This was followed by scanning mode application using all three spacers 156 in the same area, as shown in Figure 1. The parameters used for this application 157 are detailed in Figure 1. For treatment of the frontal and temporal regions, as 158 illustrated in Figure 1, 4.5 mm and 3.0 mm spacers were used, always starting 159 with the deeper one. Both point and scanning application modes were 160 employed, following the previously described parameters. To complete the 161 protocol, the 1.5 mm spacer was used in scanning mode exclusively on the 162 midface region. Additionally, this 1.5 mm spacer was applied to other facial 163 areas, as demonstrated in Figure 2, using the same energy settings but with 164 reduced application time and number of pulses. It is important to highlight that 165 Figure 2 provides a detailed representation of the appropriate treatment regions 166 167 while also indicating risk areas.

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4,5mm

1,5mm



| le    | 2x       | R       | 2x                  |
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| 4,5mm | 3,0-3,4J | 400/400 | Pontual<br>Scanning |
| 3,5mm | 2,6-5,0J | 300/300 | Pontual<br>Scanning |
| 1,5mm | 5,0J     | 600     | Scanning            |

**180** *Figure 3 - Areas and application modes considering the three target distances* 

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### 185 CLINICAL EVALUATION

#### 186 Anamnesis of the Treatment Area

During evaluations, an anamnesis form designed specifically for this study will be completed. This form will include personal information, lifestyle habits, medications, observations related to the inspection of the treatment area, history of aesthetic procedures performed in the area, skin phototype, and a patient satisfaction questionnaire regarding the treated region.

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### 193 Photographic Analysis

For photographic documentation, a digital camera will be used with the patient in an upright position. The assessment will focus on the central and lateral regions of the face in a neutral expression. The patient will maintain an upright posture, with their gaze aligned with the horizon and head centered in relation to the camera's positioning. The camera will be placed at 90 cm from the patient, with the zoom set to 1x.

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#### 201 Assessment of Skin Appearance

Improvement in the appearance of photoaged skin will be assessed using
 the GAIS (Global Aesthetic Improvement Scale), where: 0 = no improvement, 1

204 = slight improvement, 2 = moderate improvement, 3 = marked improvement,
205 and 4 = significant improvement.

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## 207 Patient Tolerance to Treatment

Pain perception in the treated area was evaluated using a subjective 0-10visual analog scale, where: 0 = no pain or warmth, 1-4 = mild pain or warmth, 5-7 = moderate pain or warmth, and 8-10 = intense pain or warmth.

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# 212 Patient Satisfaction Assessment

Participant satisfaction regarding treatment results and comfort in the treated area was assessed using a subjective 1–5 scale, where: 1 = very dissatisfied/very uncomfortable, 2 = dissatisfied/uncomfortable, 3 = no difference/no opinion, 4 = satisfied/comfortable, 5 = very satisfied/very comfortable (Noyman et al., 2021).

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## 219 **RESULTS**

Photographic analysis demonstrated improvements in skin texture and 220 221 quality. Overall, an increase in tissue support was observed, which resulted in a softening of the nasolabial fold and deep wrinkles in the forehead region. 222 Additionally, a significant improvement was noted in the upper evelid, likely 223 224 due to treatment applied to the area between the frontal and temporal bones, extending into the scalp. Another area that benefited from this application was 225 the upper eyelids and the fine wrinkles around the lateral eye region. 226 Regarding tissue vitality, the entire face exhibited visible improvement, with 227 228 particular emphasis on the midface and subocular regions, which clinically 229 translated into a rejuvenated appearance and a reduction in laxity -commonly 230 associated with a tired look.

Based on the skin improvement scale, as rated by an average of three blinded evaluators, the treatment outcome was classified as 3, indicating a marked improvement. For pain tolerance, assessed during the procedure, the patient rated their experience as 4, corresponding to mild pain and warmth. Thirty days post-treatment, the patient satisfaction scale was applied, yielding a score of 4, indicating overall satisfaction with the results.

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### 246 DISCUSSION

Microfocused ultrasound technology has emerged as an effective 247 alternative for restoring the dermal matrix, thereby addressing clinical concerns 248 related to skin rejuvenation and laxity. Beyond these benefits, it offers a distinct 249 advantage over other technologies due to its ability to target the Superficial 250 251 Musculoaponeurotic System (SMAS), leading to significant tissue contraction that results in a lifting effect. Given these characteristics and its biological 252 mechanism, thermal-induced coagulative microinjury, this technology is 253 254 applicable to various body areas. These indications have been well-documented in scientific studies that confirm both its efficacy and safety (15–19). Despite the 255 established effects, there remains no consensus regarding the optimal 256 257 application methods. Some devices administer pulses electronically, allowing for application solely along linear patterns, whereas more versatile systems 258 offer both point-by-point and scanning modes, with the method of application 259 260 determined based on the desired clinical outcome. Thus, the objective of this 261 study was to evaluate the effects of microfocused ultrasound using point-bypoint and scanning application modes as part of a therapeutic strategy for 262 263 treating age-related facial changes.

The results revealed notable improvements in facial tissue support. In the 264 265 forehead and eyebrow regions, an observable lifting effect was achieved through structural reinforcement, contributing to an enhanced appearance of 266 the eyelids and overall facial elevation. These aspects were evaluated and 267 confirmed by Alam et al., (20), which reported that after a single application of 268 269 microfocused ultrasound to the forehead region, an elevation of up to 1.9 mm in the eyebrow area on both sides was observed in more than 83% of treated 270 patients, with this effect being maintained for three months after the procedure. 271

272 Microfocused ultrasound energy possesses distinct properties that promote tissue support by replenishing the dermal matrix. This is directly 273 linked to its ability to deliver targeted energy at varying depths. In the case of 274 treatment in deeper layers, such as the SMAS, it becomes evident that the 275 induced microinjury results in facial lifting, with a significant improvement in 276 277 overall muscle support, which greatly enhances the appearance of the final outcome. At shallower depths, the treatment targets the papillary and reticular 278 dermis, primarily stimulating dermal regeneration and collagen synthesis. This 279 process not only reinforces tissue structure but also enhances skin elasticity, 280 hydration, and overall vitality (21). 281

Technologies aimed at improving facial laxity and tissue support, such as lasers and radiofrequency, are well-established in the literature. However, due to the unique cellular mechanisms of microfocused ultrasound – which induce a
robust tissue repair process leading to significant improvements in fine lines
and skin laxity – this technology is gaining recognition as a non-invasive and
painless alternative in aesthetic treatments (9,22).

The primary mechanism of action of microfocused ultrasound involves 288 the precise and intense delivery of energy within a short duration (20-50 289 milliseconds), reaching various depths and effectively inducing thermal 290 microinjuries in three key layers: the musculoaponeurotic layer, papillary 291 292 dermis, and reticular dermis, at approximately 5 mm depth. Once these 293 microlesions are established, the tissue repair process initiates, progressing through inflammation, proliferation, and remodeling phases, with the primary 294 objective of dermal reconstruction via collagen synthesis. As it is a treatment 295 296 that induces internal lesions, it has the advantage of not causing changes to the epidermis. Therefore, the patient does not require special care after the 297 application, and no morphological changes, irritability, or erythema are visible 298 in the superficial layer (9,20,22,23). 299

A study by Ko et al.(24) confirmed that microfocused ultrasound is safe 300 301 and effective for enhancing facial elasticity and contouring. Park et al. 302 concluded that microfocused ultrasound is a promising tool for addressing fine lines and skin laxity in the facial region of Asian patients, demonstrating, 303 through an evaluation scale, a significant improvement three months after 304 305 treatment, with its efficacy maintained for up to six months, particularly in the jowls, cheeks, and perioral area. Vachiramon et al. (15), evaluated the use of 306 microfocused ultrasound for pore reduction in the facial region and found the 307 technology to be effective, with high patient satisfaction. Friedman et al. (2) 308 reported positive results in improving laxity in the submental and neck regions. 309 However, the authors noted that achieving the desired clinical outcomes 310 requires patients to present with only mild skin laxity. Regarding the longevity 311 312 of the results (25), it is emphasized that this may be associated with the expression of the HSP47 protein, responsible for the quality of collagen 313 314 crosslinking.

In a review conducted by Contini et al., the authors concluded that the 315 technology is effective for treating clinical conditions classified as mild to 316 moderate skin laxity, and that just one treatment session can result in long-term 317 318 improvement. These findings align with the outcomes of the clinical case presented in this study, which demonstrated significant changes after one 319 month of treatment. Considering the above, we conclude that microfocused 320 321 ultrasound is effective in promoting facial rejuvenation, with notable improvement primarily in the subocular region, nasolabial folds, and temples, 322 thereby enabling a therapeutic enhancement of facial contour in a painless and 323 324 non-invasive manner.

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