

1 Adenoid Cystic Carcinoma of the Trachea: A Report of Two Cases and Literature Review

2 **Keywords:** Adenoid Cystic Carcinoma, Trachea, Case Report

3 **SUMMARY:**

4 Adenoid cystic carcinoma (ACC) is a rare neoplasm, with an estimated incidence ranging from 0.1 to
5 0.26 per 100,000 individuals. It constitutes the second most prevalent primary malignant tumor of the
6 trachea. Therapeutic bronchoscopy plays a vital role in enhancing the clinical condition of the patient
7 prior to surgical intervention, which remains the primary treatment modality. Conversely, radiotherapy
8 has demonstrated efficacy, in contrast to conventional chemotherapy. This malignancy tends to exhibit
9 slow progression but is frequently fatal in the absence of radical treatment. We present a novel case of
10 adenoid cystic carcinoma in a 71-year-old female patient, identified during an episode of tracheal
11 wheezing accompanied by dyspnea that had persisted for one year. Diagnosis was established through
12 biopsy of the tumor located in the lateral wall of the trachea via mediastinoscopy. The second case
13 features a 55-year-old male, a former smoker with a history of progressive dyspnea over five years,
14 productive cough with intermittent blood streaks, wheezing, and chest tightness. Chest CT revealed a
15 nearly stenosing intratracheal mass. Bronchoscopy confirmed a tumor obstructing the trachea by 90%.
16 Biopsy indicated ACC. Mechanical debulking and placement of a silicone Y-stent were performed,
17 followed by successful endoscopic follow-up. The patient was classified as T4N3M0 and referred for
18 chemoradiotherapy.

19 **INTRODUCTION:**

20 Adenoid cystic carcinoma (ACC) is an infrequent malignant tumor, accounting for less than 1% of all
21 malignant neoplasms, with approximately 30% arising in the head and neck region, particularly within
22 the salivary glands. Localization within the trachea is exceedingly rare, with an incidence of
23 approximately 0.2 cases per 100,000 individuals annually, comprising nearly 10% of tracheal tumors.
24 It ranks as the second leading cause of tracheal tumors, following squamous cell carcinoma (1)(2)(3).

25 The clinical manifestations associated with tracheal ACC are contingent upon the extent of airway
26 obstruction. Consequently, this pathology may be entirely asymptomatic or may present with
27 wheezing, stridor, dyspnea at rest or during exertion, and hemoptysis. It is characterized by moderate
28 malignancy, indolent growth, progressive clinical evolution, a propensity for local recurrence, and
29 delayed metastasis (4).

30 The primary treatment approach is surgical resection, which may be supplemented by adjuvant
31 radiotherapy. The prognosis is generally favorable when diagnosed and managed promptly (5). In this
32 report, we describe a case of tracheal adenoid cystic carcinoma managed at our institution,
33 emphasizing the effective integration of therapeutic bronchoscopy and surgical intervention in the
34 treatment of adenoid cystic carcinoma, alongside a review of relevant literature.

35 **Case 1:**

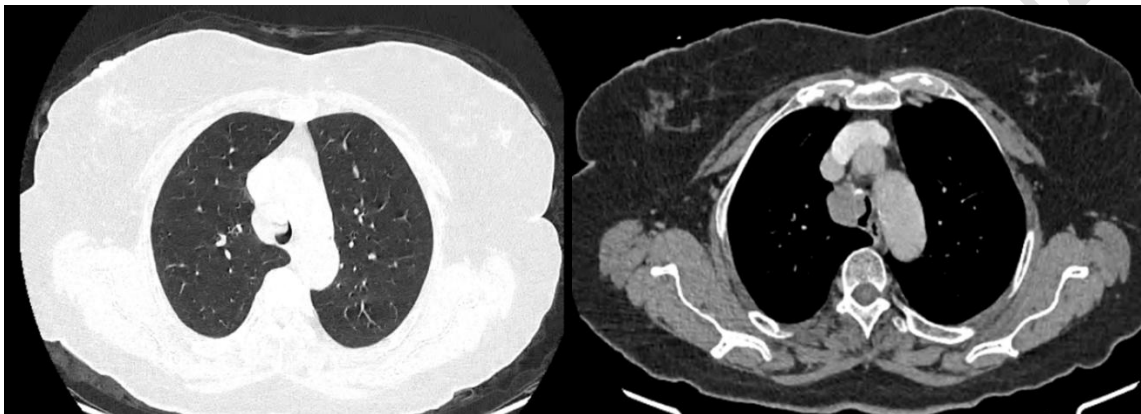
36 A 71-year-old female patient, a non-smoker with no significant medical history, presented with
37 inspiratory dyspnea accompanied by wheezing, which had progressively worsened over the past year,
38 ultimately resulting in respiratory distress that necessitated her consultation.

39 Physical examination indicated that the patient had a World Health Organization (WHO) performance
40 status of 0, with peripheral oxygen saturation measured at 70% in ambient air, alongside the presence
41 of tracheal wheezing.

42 Imaging performed via computed tomography demonstrated thickening of the right tracheal wall,
43 characterized by a lobulated formation protruding into the tracheal lumen, leading to an estimated 70%
44 stenosis.

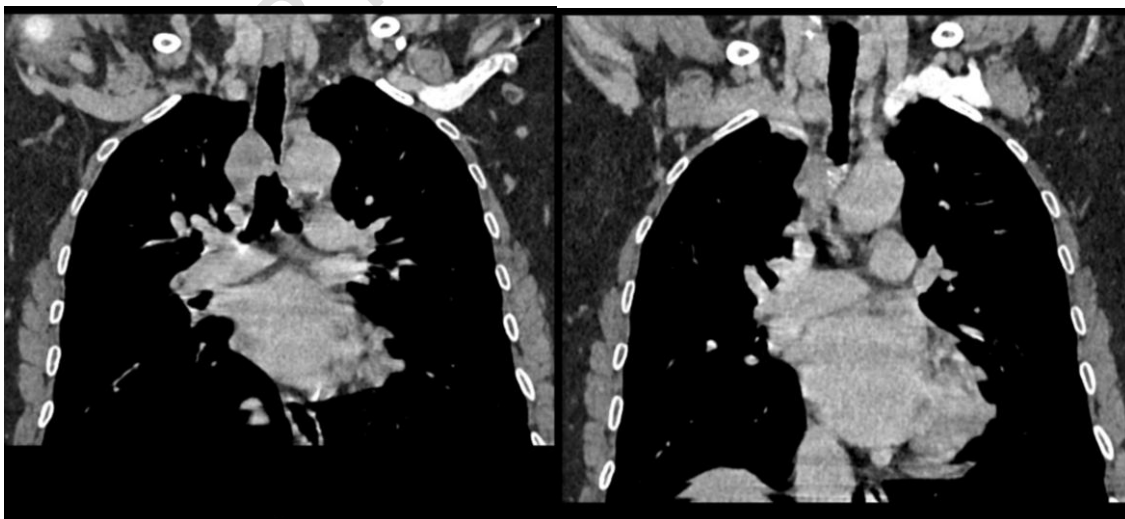
45 Bronchoscopy findings revealed a severe tracheal stenosis exceeding 80%, attributed to extrinsic
46 compression. The mucosal surface appeared slightly infiltrated, with no evidence of protrusion;
47 additionally, the tracheal wall exhibited fragility, showing slight bleeding upon contact. This stenosis
48 was localized in the lower half of the trachea, extending nearly 4 cm, and was situated approximately 2
49 cm above the carina.

50 In light of these findings, a rigid bronchoscopy with biopsy was conducted; however, the results were
51 inconclusive. A silicone tracheal stent measuring 16 mm by 60 mm was subsequently placed due to
52 the significant stenosis. Positron emission tomography (PET) scan results indicated a mildly
53 hypermetabolic tissue lesion in the right tracheal wall, measuring 20 x 26 x 45 mm, as well as a
54 hypermetabolic lesion in the posterior wall of the left main bronchus located 9 cm from the carina.
55 (figure 1 and 2)



56
57 **Figure 1 (A, B):** Chest CT Scans in parenchymal window A and mediastinal window B) showing
58 thickening of the right lateral wall of the trachea responsible for stenosis.

59
60



61
62 **Figure 2(A, B):** Chest CT Scan in Mediastinal Window, Coronal Section showing thickening of the
63 right lateral wall of the trachea responsible for stenosis.

A mediastinoscopy was conducted to achieve an etiological confirmation, as the bronchoscopy biopsy results were inconclusive. Histological analysis of the tumor fragments revealed the presence of fibrohyaline stroma interspersed with rare epithelial structures, exhibiting morphological and immunohistochemical characteristics consistent with adenoid cystic carcinoma. (Figure 3)



Figure 3: CT Appearance After Placement of the Tracheal Stent.

The patient underwent surgical intervention for adenoid cystic carcinoma following staging, which indicated the absence of distant metastases. The surgical procedure involved the complete excision of the tumor, achieving negative surgical margins. No radiotherapy was administered post-operatively.

Monitoring over a two-year period demonstrated favorable progression of the patient's condition, with no evidence of recurrence or associated complications.

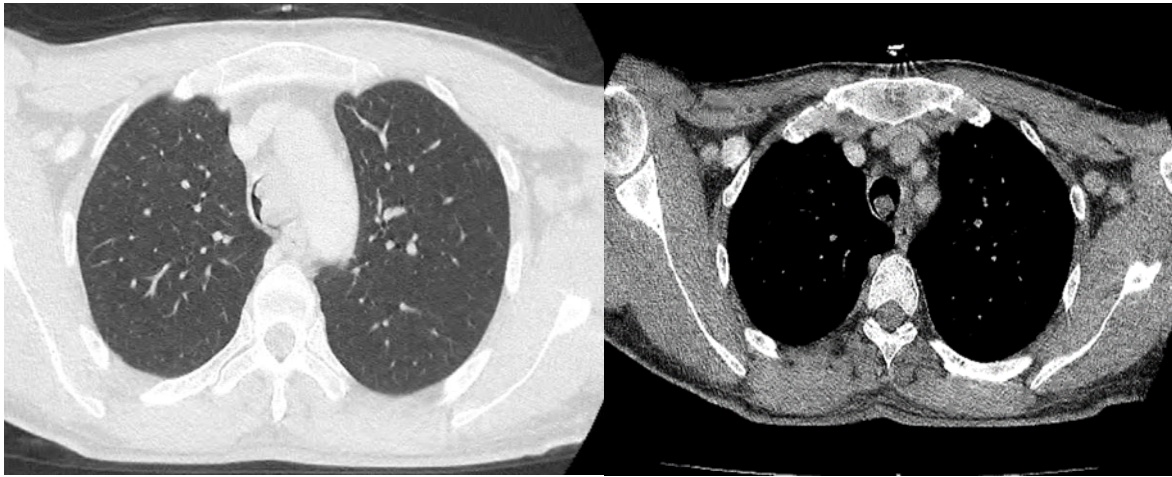
Case 2:

A 55-year-old patient, a former smoker who ceased smoking 30 years prior after an 8-year history, presented with progressive dyspnea over the past 5 years. This was accompanied by a productive cough with intermittent blood streaks, wheezing, and a sensation of chest tightness.

Physical examination indicated an ECOG performance status of 0, with peripheral oxygen saturation at 98% in ambient air, and tracheal wheezing noted upon auscultation.

Chest computed tomography revealed a nearly stenosing intratracheal mass lesion. (Figure 4)

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Figures 4: Figure 4 (A, B): Thoracic CT scan in parenchymal window A and mediastinal window B showing an intratracheal, quasi-stenosing, budding tissue lesion process.

Bronchoscopy revealed a tumor mass located in the lower third of the trachea, obstructing the tracheal lumen by 90% and extending down to the level of the carina. (Figure 5)



Figure 5 (A, B): Chest CT in Mediastinal Window: Sagittal and Coronal Sections showing a nearly stenosing intratracheal mass lesion.

In light of this presentation, a rigid bronchoscopy with biopsy was performed, revealing morphological and immunohistochemical features consistent with adenoid cystic carcinoma.

Mechanical debulking was subsequently conducted using the bronchoscope, followed by the placement of a silicone Y-stent (caliber: 18/14, length: 5.5T/1D/2G). Endoscopic follow-up showed satisfactory results, with a stable stent positioned such that the upper limit was located 3.5 cm from the vocal cords. (Figure 6 ,7 and 8).

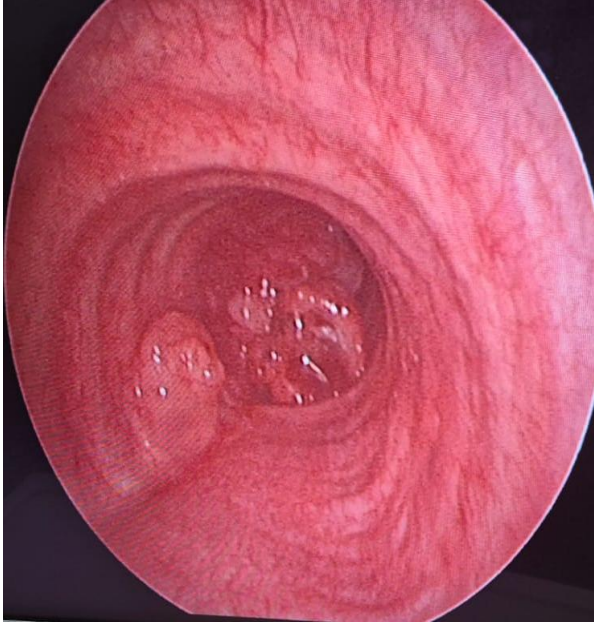


Figure 6: Bronchoscopy Image showing a tumor mass in the lower third of the trachea, obstructing the tracheal lumen.

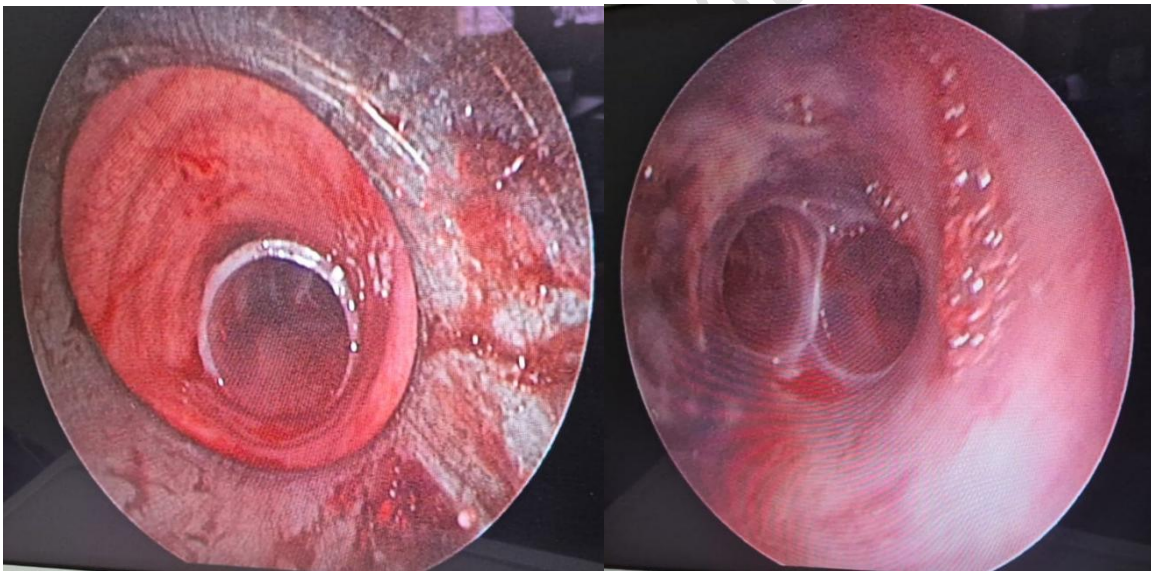


Figure 7: Bronchoscopy Image showing the silicone Y-stent (caliber: 181414, length: 5.5T/1D/2G).

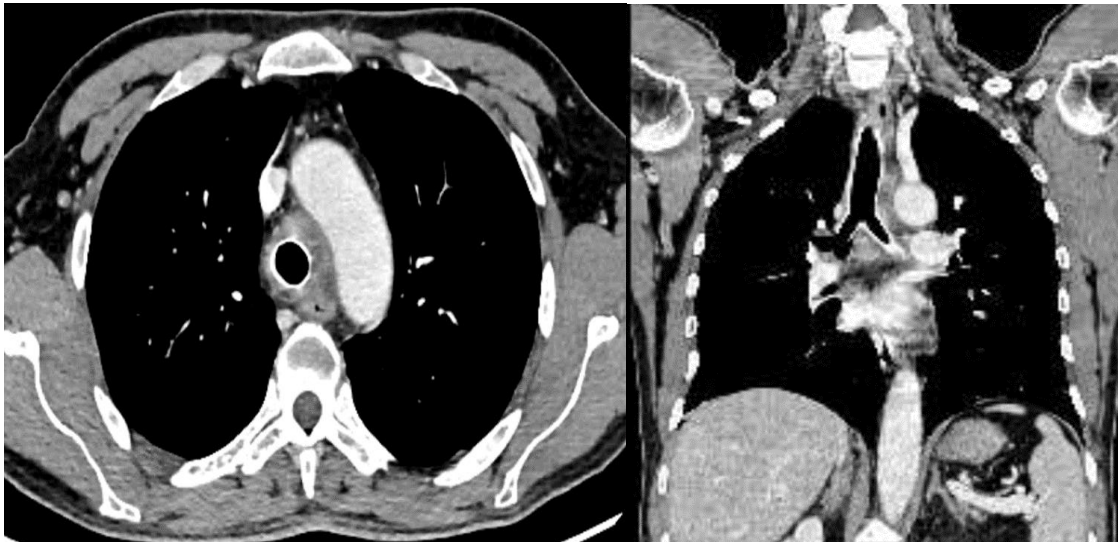


Figure 8 (A, B): Chest CT in Mediastinal Window: Axial and Coronal Sections after the placement of the tracheal stent.

The extension evaluation revealed no signs of distant metastases, and the patient was classified as T4N3M0. The patient was then referred for chemoradiotherapy.

Discussion

Adenoid cystic carcinoma (ACC) is a rare malignancy, with an incidence of 0.2 cases per 100,000 people per year, accounting for approximately 10% of tracheal tumors. It is the second most common primary malignant tumor of the trachea. This carcinoma primarily arises from the secretory cells of salivary glands but can also affect central airway structures, including the trachea and main bronchi, representing about 10% of head and neck tumors.

Tracheal ACC is particularly uncommon, originating from the submucosal glands of the trachea, and typically occurs in the distal third. A study by Jiang et al. indicates that most cases are found in the upper part, while 14 of the 19 cases of squamous cell carcinoma occur in the distal segment. Tumors in the lower third generally exhibit less favorable survival outcomes compared to those in the upper third.

Notably, ACC is not linked to smoking and shows no sex predilection, affecting both men and women equally. Tracheal tumors tend to grow slowly and may remain asymptomatic until they obstruct 75% of the airway lumen. Symptoms include signs of upper airway obstruction, such as wheezing, stridor, or coughing, as well as hemoptysis due to mucosal irritation. Less than 25% of patients present with hemoptysis at an early stage.

Computed tomography (CT) is the standard imaging modality for evaluating intraluminal and extratracheal tumor extension, allowing visualization of tracheal wall thickening and the extraluminal extent of the mass. ACC often appears as a homogeneous mass surrounding the posterolateral wall of the trachea. While magnetic resonance imaging (MRI) is less frequently used, it offers better tissue characterization and can indicate the presence of an intraluminal mass or a tumor surrounding the trachea at 180° or more. Endoluminal ultrasound can also be beneficial for detecting compression and submucosal infiltration, aiding in achieving negative margins post-resection.

Tracheobronchial fibroscopy is essential for precise localization and confirmation of the diagnosis through biopsy, which can be challenging to interpret. Diagnosis of ACC is confirmed through histopathological examination, revealing histological features of cribriform, tubular, and solid types. The cribriform type is the most common, while tubular types, which are more differentiated, have a better prognosis. In contrast, solid types are associated with a poorer prognosis and an increased risk of metastases, particularly to the lungs. Immunohistochemical staining can support the diagnosis, showing positive expression for markers such as smooth muscle actin (SMA), p63, Ki-67, S100, and CD117.

Staging of tracheal cancer is crucial for guiding treatment and assessing prognosis. However, due to its rarity, there is no specific staging system. A recent study suggests the relevance of the TNM classification for tracheal cancer, given observed prognostic differences.

Treatment options include surgery, radiotherapy, or a combination of both. Surgical resection remains the primary approach for localized tracheal ACC, aiming for complete resection with negative margins. Radiotherapy has proven effective as a standalone treatment for inoperable ACCs, with neutron radiotherapy reporting a 5-year survival rate of 89% in a study involving 19 patients. Recent advancements in radiotherapy, such as intensity-modulated radiotherapy, have also shown effectiveness as adjuvant treatment.

The placement of endotracheal stents is considered for inoperable patients, primarily for palliative purposes or when radiotherapy is contraindicated, to mitigate obstructive recurrence. This procedure is usually preceded by endoscopic destruction of the lesion via rigid bronchoscopy. Tumor resection before surgery facilitates endotracheal intubation, essential for patient ventilation during the procedure.

Conventional chemotherapy has not demonstrated significant efficacy in treating ACC. However, ACCs express biomarkers such as Vascular Endothelial Growth Factor (VEGF) and c-kit mutations, which are associated with metastases and represent potential targets for targeted therapies, including tyrosine kinase inhibitors (TK). Although imatinib does not show efficacy as monotherapy for ACC, it demonstrates a partial response when combined with cisplatin in phase II trials. Similarly, cetuximab, an epidermal growth factor receptor (EGFR) inhibitor, shows a partial response when combined with cisplatin, with or without radiotherapy.

CONCLUSION

Adenoid cystic carcinoma is a rare tumor, and its low incidence underscores the importance of early diagnosis and a multidisciplinary approach involving thoracic surgeons, radiologists, and medical oncologists. This collaboration is essential for optimal treatment planning and improving patient outcomes.

Further research is crucial to enhance our understanding of the pathogenesis, molecular characteristics, and optimal management strategies for this rare malignant tracheal tumor. Early diagnosis is often overlooked, which can result in delays in treatment.

Several factors limit clinical trials on adenoid cystic carcinomas, including the small patient population, the prevalence of single-center studies, and the naturally slow progression of these tumors. These challenges highlight the necessity for multicentric trials, increased utilization of imaging analyses, and the identification of biomarkers specific to adenoid cystic carcinomas.

CONFLICT OF INTERESTS

The authors declare no conflicts of interest.

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