A multi-centric, double-blind, randomized controlled trial to assess safety and efficacy of a proprietary Ayurvedic medicine, "Tab. Prasham" in the management of anxiety disorders as an *add-on* treatment to the Standard of Care.

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6 Abstract

A randomized, double-blind, placebo-controlled interventional clinical study was conducted for 71 eligible patients of age >18 years, having anxiety disorder. At the end of the study, when the blind was broken, it was revealed that 36 patients had received the IP and 35 had received placebo. Tab. Prasham was found to be effective in reducing the anxiety when given as an *add-on* to the standard of care. *P value* was statistically significant in reducing HAM-A score at day 15 and at day 60. The proprietary Ayurvedic medicine is safe to consume. Tab. Prasham was found to be useful in increasing duration and quality of sleep.

14	Keywords:	Ayurvedic Medicine	, Anxiety; Insomnia; Prasham	
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 proprietary Ayurvedic medicine, "Tab. Prasham" in the management of anxiety disorders
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35 Introduction

Anxiety disorders form the most common group of mental disorders and generally start before or in early adulthood. Core features include excessive fear and anxiety or avoidance of perceived threats that are persistent and impairing. Anxiety disorders are characterised by impairment of neural pathway of brain.^{1,2} Excessive stress is associated with increased risk of cardiovascular diseases, gastrointestinal issues, and mental health disorders, including anxiety and depression.^{3,4} Stress leads to disturbances in sleep patterns, such as
 insomnia.⁵

Insomnia can be short term or may persist over a longer period, leading to symptoms like
low energy, daytime fatigue, irritability, and mood disorders.⁶ The primary line of treatment
is tranquilizers and antidepressants, which may have undesired effects such as nausea,
weight gain, and drowsiness.⁷ This has prompted the exploration of alternative therapies,
including herbal formulations, for safer and effective management of these conditions.⁸

Ayurveda, the traditional system of medicine, offers a holistic approach for managing stress
and insomnia. Tab. Prasham, an Ayurvedic formulation, is composed of potent herbs such as
Vacha (*Acorus calamus*), Pippalimool (*Piper longum*), Sarpagandha (*Rauvolfia serpentina*),
Khurasani owa (*Hyoscyamus niger*), Tagar (*Valeriana wallichii*), and Brahmi (*Bacopa monnieri*), which have documented anxiolytic, sedative, and adaptogenic properties.^{9,10}
These herbs work synergistically to alleviate anxiety and promote better sleep.

The current study is aimed to evaluate the efficacy and safety of Tab. Prasham as an *add-on* therapy to the Standard of Care in managing anxiety and insomnia through a randomized, double-blind, placebo-controlled trial. The assessment of the treatment was carried out using validated scales like the Hamilton Anxiety Rating Scale (HAM-A) which is commonly used in clinical trials.^{11,12}

59 Primary Objective was to assess improvement in the Hamilton Anxiety Rating Scale in 60 Anxiety Disorders and Secondary Objectives included assessment an improvement in sleep 61 through a questionnaire, and assessments of incidences of adverse events and treatment

62 emergent adverse events during the treatment period.

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64 Methods

65 Study Design

The present trial was conducted by adopting the Guidelines of the International Conference 66 67 on Harmonization (ICH) for Good Clinical Practice in compliance with the Declaration of Helsinki. Prior to initiating the study. The protocol was reviewed and approved by the 68 69 Institutional Ethics Committee of Dr D. Y. Patil Medical College, Hospital & Research Centre (DYPCARC/IEC/3622/2022 dated 25/10/2022), Pimpri, Pune India. The study protocol was 70 71 registered (CTRI number: CTRI/2023/01/049009) on 13/01/2023 at the Central Trial Registry of India. The study was carried out jointly by the clinician from allopathic and Ayurvedic 72 73 medicines during January 2023– May 2024. This was an interventional, prospective, randomized, double-blind, placebo-controlled, 74

75 multi-centric study evaluating the efficacy and safety of Tab. Prasham. Written informed 76 consent was obtained from all the subjects before enrolment in the study. This was a

double-blind trial and neither the patients nor the investigators were aware if the container

had Tab. Prasham or Placebo in it. 71 patients were enrolled in the trial.

- 79 Sleep was assessed by a questionnaire for assessing quality and duration of sleep. The
- 80 questionnaires designed to capture sleep onset time, number of night awakenings, WASO,
- 81 qualitative assessment, total duration of sleep in 24 hours

82 Investigational Drug Tab Prasham

- 83 Investigational drug Tab Prasham was supplied by M/s Ayurveda Rasashala, Pune. Tablet
- 84 Prasham contained a blend of Ayurvedic herbs, known for their anxiolytic and sedative
- 85 properties, which are relevant to the management of **anxiety and insomnia**:

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Table 1: Tab. Prasham contents

Constituent	Botanical Name	Qty (mg)	
Vacha	Acorus calamus	18.93	
Pippalimool	Piper longum	18.93	
Sarpagandha	Rauvolfia serpentina	37.87	
Khurasani owa	Hyoscyamus niger	18.93	
Tagar	Valeriana wallichii	37.87	
Brahmi	Bacopa monnieri	75.75	

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Placebo tablets: The placebo tablets were prepared using microcrystalline cellulose, similar
 in appearance to the Prasham tablets.

90 Sample size and patient recruitment

91 Sample size was calculated by a biostatistician.

Randomization and concealment: The OPD patients were screened for the study. The 92 93 weight, look and feel of the containers was kept same for Prasham and Placebo by the manufacturing company; and hence it was not possible to know what is what from the 94 95 outside. Alphanumeric code was printed on each container and there were four containers for each code (either having tab. Prasham in it or Placebo At baseline, when the container 96 97 was dispensed, the unique alphanumeric code was noted in the corresponding Case Record Form(CRF). The same coded container was given during consecutive follow-up visits for that 98 99 patient. This way, each patient kept on receiving either Prasham or Placebo during the 100 entire study period of 60 days. Neither the patient receiving the containers nor the PI / CRC 101 was aware of the content of the container. Only after the study was over, and the data-102 sheet freezed and locked, the blind was broken to know the two complete sets of patients: 103 those who received tab. Prasham and those who received Placebo.

The Inclusion criteria were, age >18 and < 70 years at the time of signing ICF, patients 104 105 fulfilling the diagnostic criteria for any of the anxiety disorders as per DSM 5, voluntarily 106 participation in the clinical trial and agreeing to follow study procedures and not 107 participating in any other interventional drug clinical studies before completion of the present study. The exclusion criteria were: Inability to intake or tolerate oral medications; 108 109 Known pregnant or lactating women; Patients with current or has a history of substance use disorder; Patients having severe renal and hepatic impairment; Patients who displayed 110 marked suicidal intent or known suicidal tendencies; Where, in the opinion of the 111 investigator, participation in this study will not be in the best interest of the subject, or any 112 other circumstances that prevent the subject from participating in the study safely; Known 113 allergies to components of the Investigational Product(IP). 114

115 Intervention

116 The IP was in addition to the anxiolytics prescribed as a SoC. Patients either received Tab.

- 117 Prasham or Tab. Placebo as an *add-on* regimen.
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119 Outcomes

Primary outcome of reduction in anxiety levels was assessed using the Hamilton Anxiety Scale (HAM-A), from baseline to the end of the study period (60 days). The change in anxiety levels was compared within the group (before-after) and between the groups receiving the Tab. Prasham and the Placebo group. The SoC was given to all the patients. Sleep was assessed by a questionnaire for assessing quality and duration of sleep. The questionnaires included questions to capture sleep onset time, number of night awakenings, WASO, qualitative assessment, total duration of sleep in 24 hours.

127 Statistical analysis

The analysis was performed using Microsoft-Excel and SPSS version-21 (IBM,
Statistics software). Mann-Whitney test was used for group comparison and Wilcoxon
Signed Rank test was applied for within the group analysis.

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132 Results

133 First patient was enrolled on 16th June 2023 and last patient was enrolled on 22nd May 2024.

- All the patients were followed up for 60 days with an interim follow-up at every 15 days.(Chart 1 below)
- Average age of all the patients in the current study was 38.9 (<u>+</u>12.15) years. Average age of patients in both the groups was close to 39 years. (Chart 2 below)
- 138 There were slightly more males (37) than females (34) in the study. (chart3 below)
- 139
- 140 Table 2 below illustrates demographics and HAM-A scores, anxiety on various days,
- statistical tests applied and corresponding *Probability values* where applicable.

S. No.	Variable		Control	Case	% or p- value
1	Age in years (Median)		38	37.5	
2	Candar	Male	18	19	52%
	Gender	Female	17	17	48%
3	Average BMI		24.8	26	
9		Baseline HAM Score	18.5	9.5	
		HAM Score Day 15	15.7	10.9	
	HAM SCOLE	HAM Score Day 30	13	9.6	
		HAM Score Day 45	10.1	7	
		HAM Score Day 60	8.3	5.1	
		No Anxiety at baseline	0	0	
		Mild Anxiety at baseline	19	17	
		Mild to Moderate	9	11	
		Moderate to Severe at baseline	5	5	
10		Severe Anxiety at baseline	2	3	
	Anxiety	No Anxiety at 15th day	0	0	
		Mild Anxiety at 15th day	18	28	
		Mild to Moderate	12	4	
	0	Moderate to Severe at 15th day	4	1	
		Severe Anxiety at 15th day	0	0	
11	Mann–Whitney U test baseline between the groups		35 (n)	36 (n)	0.73
12	Mann–Whitney U test Day 15	34 (n)	33 (n)	0.04	
13	Mann–Whitney U test Day 60	34 (n)	34 (n)	0.02	

Table 2: Demographics and HAM-A stats for **Controls and Cases**

Average BMI (basal Metabolic Index) was 25.3 (\pm 4.47) kg/m², with lowest at 16.4 kg/m² to and highest being 38.6 kg/m². With the sample size of current study, it is difficult to study such association or cause-effect relationship. There was a range of uneducated, graduates, post graduates, engineers, nursing staff and medical students in the 71 patients enrolled.

The distribution in the field of employment ranged from homemakers, farmers, clerks, businessmen to students. 26 patients of 71 (36.6%) reported consumption of tobacco in form of chewing or smoking; 14 out of 71 (19.7%) reported of regular alcohol consumption. Like the other factors discussed earlier, anxiety contributes to smoking and once there in addiction to nicotine and people experience acute withdrawal, the symptoms very much mimic anxiety.

- 153 17 patients were hypertensive and on medication and 4 were diabetic on OHA, 2 women 154 were with hypothyroidism. 7 patients were habitually consuming tobacco by chewing or 155 smoking; and 9 reported social drinking with moderate quantity.
- 156 The distribution of these conditions was similar in both the groups.

157 Safety Evaluation

There was no single patient to report any adverse event during the 60-day period, apart from sporadic cases of fever due to infection. Also, there was no clinical sign in any of the patients during follow-up that suggests adverse event or reaction. The Investigational Product is marketed since last more than thirty years and safety is very-well established by its use by thousands of practitioners across the country and outside. Hence there was no separate biomarker considered for evaluation of safety in the study.

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175	Chart 1: Flow-chart depicting journey of patients from screening to last follow-up









Chart 3: Male: Female distribution in the two groups





Hamilton Anxiety Scale (HAM-A) was assessed on baseline, day 15, day 30, day 45 and at the end of the study period (60 days). The scale has four different patient stages: 14-17 = Mild Anxiety, 18-24 = Mild to Moderate, 25-30 = Moderate to Severe, 30+ = Severe.

188 Chart 4 below depicts the decline in HARS score in all the patients in both the groups at day

189 15. Patient no 22 in control group showed increase in the score, which is seen as a negative

190 score in the graph.

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Chart 4: Decline in HARS Score at day 15 from baseline in cases and in controls



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195 Discussion

196 Anxiety is a feeling of fear, dread or uneasiness. Thoughts of future threat (verbal 197 subjective), muscle tension (somato-visceral) and avoidance (overt motor).¹³

Ayurvedic approach in treating anxiety is holistic and often involves internal medication along with Sattvavajaya chikitsa (Psychotherapy). McIntyre E have mentioned that 22% of adults with an anxiety disorder have tendency to use herbal medicine.¹⁴

- 201 The present study aimed to evaluate the efficacy and safety of the Tab. Prasham as an *add*-
- 202 on therapy for participants suffering from anxiety disorder. Tab. Prasham is an approved

proprietary Ayurvedic medicine being in the market since 2 decades. It was a double-blind trial. The investigators received the alphanumeric coded sealed containers with tablets inside it. The look and feel of the containers were similar in all the containers so that it was not possible for the investigators or for the patients to know if there were tablet Prasham inside or Placebo inside them.

208 The blind was broken at the end of the last follow-up of last enrolled patient's visit.

Statistically significant reductions in the mean scores of anxiety, assessed using the Hamilton Anxiety Scale (HAM-A), were observed from the baseline visit to all follow-up visits in the Tab. Prasham group. When comparing the two groups, a significantly greater reduction in anxiety was noted in the Tab. Prasham group compared to the placebo group at the end of 15 days and at day 60. Although numerically evident, the benefit of Prasham was not statistically significant for day 30 and day 45. (Chart 4)

Therefore, Tab. Prasham can be a good *add-on* to the contemporary standard of care in decreasing anxiety. Within first 15 days itself the patients are better off than those receiving only SoC (plus placebo).

- There was improvement in sleep scores too in Prasham group; however, the change was notstatistically significant.
- The formulation of Tab. Prasham, which includes ingredients such as Vacha (*Acorus calamus*), Pippalimool (*Piper longum*), Sarpagandha (*Rauvolfia serpentina*), Khurasani owa (*Hyoscyamus niger*), Tagar (*Valeriana wallichii*), and Brahmi (*Bacopa monnieri*), is designed to address anxiety and insomnia through various mechanisms. Research suggests that these herbal components possess anxiolytic properties, potentially influencing the gamma-aminobutyric acid (GABA) neurotransmission system to improve sleep quality and reduce anxiety levels.
- Vacha (Acorus calamus) is a Medhya Rasayana (nootropic) and is frequently used to 227 enhance intellect and mental clarity. It is also known for balancing the Kapha and Vata 228 229 doshas. It might be enhancing GABA receptor activity, promoting relaxation and reducing anxiety. Research indicates that Acorus calamus can modulate neurotransmitter levels and 230 exhibit neuroprotective effects, aiding in cognitive function and emotional stability.^{15,16} 231 232 Acorus calamus has been traditionally used to enhance memory and cognition. It has shown 233 potential neuroprotective effects, especially relevant for neurodegenerative diseases such as Alzheimer's and Parkinson's disease. Studies suggest that it can protect neurons from 234 oxidative stress, thus supporting cognitive function.¹⁷ 235
- Pippalimool (*Piper longum*) is known for its Deepana (digestive) and Pachana (metabolic) actions, widely used in digestive ailments and as a bio-enhancer in formulations.¹⁸It is described as beneficial for Kapha-Vata conditions and has rejuvenative effects. This herb has been shown to possess adaptogenic and anxiolytic effects. Studies suggest that *Piper longum* enhances the efficacy of other herbal ingredients by improving bioavailability and

absorption.¹⁹ *Piper longum* has been studied for its role in regulating serotonin and
 dopamine systems, which can aid in managing mood disorders such as depression.²⁰
 Additionally, its anticonvulsant activity helps in epilepsy management, offering stabilizing
 effects on neuronal excitability.²¹

Sarpagandha (Rauvolfia serpentina) is known for its calming effects on the nervous system. 245 As per Ayurvedic principles, it helps in harmonizing Rakta and Pitta dosha imbalances, 246 particularly in hypertension. Sarpagandha contains reserpine, which is known for its 247 antihypertensive and sedative properties. It works by depleting catecholamines (e.g., 248 norepinephrine) in the central nervous system, thereby reducing anxiety levels. It also has 249 been shown to influence GABAergic neurotransmission, promoting calmness. Given its 250 251 antihypertensive properties, Sarpagandha also plays a role in preventing cognitive decline linked to chronic hypertension, enhancing mental clarity.^{22,23} 252

Khurasani Owa (*Hyoscyamus niger*), is an antispasmodic and sedative. It helps in pacifying Vata disorders. This herb exhibits anticholinergic properties, helping to alleviate anxiety and promote sleep by blocking the action of acetylcholine in the central nervous system. Its modulation of neurotransmitter activity provides protection against seizures and excitotoxicity. It has been shown to be effective in Parkinson's disease symptoms like tremors and rigidity.^{24,25}

Tagar (*Valeriana wallichii*) is used in Vata and Kapha imbalances, known for calming the mind and aiding in sleep-related disorders. Known for its sedative properties, Valeriana has been shown to increase GABA levels in the brain, promoting relaxation and improving sleep quality. It may also reduce the time taken to fall asleep and the frequency of night-time awakenings. ^{26,27}

Brahmi (*Bacopa monnieri*) is referred as a Medhya Rasayana, is known for enhancing memory, intellect, and cognitive function. It balances Kapha and Pitta doshas and is widely prescribed for mental and psychological wellness. This herb has been shown to modulate neurotransmitter systems, including GABA and serotonin. It hrlps in protecting against neurodegeneration and promoting overall mental well-being.²⁸ Studies show that Brahmi can improve attention and behaviour in children with Attention-Deficit Hyperactivity Disorder (ADHD), helping with cognitive performance and reducing hyperactivity.²⁹

271 Overall activity of Tab. Prasham:

272 Mode of action of Tab. Prasham may be due to GABA either via direct receptor binding or 273 ionic channel or cell membrane modulation; GABA transaminase or glutamic acid 274 decarboxylase inhibition; a range of monoaminergic effects; and potential cannabinoid 275 receptor modulation.³⁰

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277 Strengths and Limitations

- 278 Although the study was an 'add-on' intervention, the double-blind design provided near-
- 279 accurate estimate of the efficacy of Tab. Prasham in reducing anxiety. The 'placebo-effect'
- 280 was nullified by the design. These were the strengths of the study.

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282 Conclusion

- 283 Based on the double-blind trial conducted and statistical analysis it can be concluded that
- Tablet Prasham is effective in reducing the anxiety when given as an *add-on* to the standard
- of care. *P value* was statistically significant in reducing HAM-A score at day 15 and at day 60.
- 286 The proprietary Ayurvedic medicine is safe to consume.
- 287 Tablet Prasham is also useful in increasing duration and quantity of sleep.
- 288 The overall results are encouraging in favour of the investigational product, Tab. Prasham.
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- 290 Conflict of Interest
- 291 NIL
- 292 Acknowledgements
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- 294

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