- 1 Cerebral Vasoreactivity to Carbon Dioxide Assessed by Transcranial
- 2 Doppler Ultrasound in Post–COVID-19 Patients: A Moroccan Comparative
- 3 Study

Abstract

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- 6 Background: COVID-19 has been associated with multiple neurological manifestations,
- 7 ranging from mild symptoms to severe cerebrovascular complications. The endothelium is a
- 8 major target of SARS-CoV-2, and cerebral microvascular dysfunction may persist beyond the
- 9 acute phase. The assessment of cerebrovascular reactivity (CVR) to carbon dioxide (CO₂)
- 10 using transcranial Doppler ultrasound (TCD) is a noninvasive method to detect subtle
- alterations in cerebral hemodynamics.
- Objective: To evaluate cerebrovascular reactivity and the breath-holding index (BHI) in
- patients who recovered from moderate or severe COVID-19, compared with non-COVID-19
- 14 controls.
- 15 **Methods:** A prospective observational study was conducted including 75 subjects: 50 post—
- 16 COVID-19 patients and 25 controls. Middle cerebral artery (MCA) flow velocities were
- 17 recorded at rest and after a breath-holding test. CVR and BHI were calculated as the
- 18 percentage change in mean flow velocity relative to baseline and to Breath Holding Time,
- 19 respectively.
- 20 **Results:** Baseline systolic, diastolic, and mean velocities in the MCA were significantly lower
- 21 in the post–COVID-19 group compared with controls (p<0.05). Following the breath-holding
- test, all flow velocities increased in both groups, but the magnitude of increase and the BHI
- were significantly lower in post–COVID-19 patients (p<0.05).
- 24 Conclusion: Patients recovered from moderate or severe COVID-19 exhibited impaired
- 25 Cerebral Vasoreactivityto CO₂, suggesting persistent endothelial dysfunction despite clinical
- 26 recovery. Routine TCD assessment may help identify asymptomatic patients at risk for
- 27 cerebrovascular complications in the perioperative or critical care setting.

29 Keywords:

- 30 Cerebral Vasoreactivity (COVID-19; cerebrovascular reactivity; Transcranial Doppler
- 31 ultrasound; endothelial dysfunction; breath-holding index; cerebral blood flow; intensive care

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35 **Introduction:**

- 36 Stroke remains the second leading cause of mortality worldwide, with well-established
- vascular risk factors [1]. In recent years, several infectious agents have been recognized as
- 38 additional contributors to cerebrovascular disease through inflammatory and endothelial
- 39 mechanisms. Chronic infections such as chlamydia pneumonia, cytomegalovirus,
- 40 Helicobacter pylori, influenza virus, hepatitis C virus, etc., have been shown to contribute to
- 41 the development of cerebrovascular disease through the changes they cause in the small and
- large blood vessels of the brain [2].
- 43 SARS-CoV-2 virus infection is no exception and could also represent a new risk factor for
- stroke even in patients who have had moderate or minor forms of the disease [3].
- The coronavirus disease 2019 (COVID-19), caused by the SARS-CoV-2 virus, has emerged
- as a global health crisis since March 2020, with more than 600 million confirmed cases and
- over six million deaths reported by September 2022 [4].
- 48 Neurological manifestations have been frequently observed in patients with severe COVID-
- 49 19, including ischemic and hemorrhagic stroke, encephalitis, meningitis, polyneuropathy, and
- seizures [5]. In contrast, patients with mild or moderate disease often experience nonspecific
- 51 neurological symptoms such as headache, dizziness, myalgia, anosmia, or fatigue[6-7].
- 52 SARS-CoV-2 enters host cells by binding to the angiotensin-converting enzyme 2 (ACE2)
- receptor, which is expressed in the lungs, heart, kidneys, intestines, and vascular endothelium.
- Viral infection leads to diffuse endothelial dysfunction, microvascular inflammation, and
- thrombosis—features strongly associated with multi-organ failure in severe COVID-19 [8,9].
- Postmortem studies have revealed multifocal micro vascular lesions in the brain and olfactory
- 57 bulbs, often without detectable viral RNA in the brain tissue suggesting secondary endothelial
- inflammation rather than direct viral invasion [8].
- 59 These observations raise concerns about long-term cerebrovascular consequences after
- 60 recovery from COVID-19. Persistent endothelial dysfunction could compromise the brain's
- ability to regulate blood flow in response to metabolic or chemical stimuli—a phenomenon
- known as Cerebral Vasoreactivity (CVR). CVR reflects the capacity of cerebral arterioles to
- dilate in response to hypercapnia or other vasodilatory stimuli [10].
- Transcranial Doppler ultrasound (TCD) is a noninvasive bedside tool that measures blood
- 65 flow velocities within the major intracranial arteries, most commonly the middle cerebral
- artery (MCA). It has been widely used to study cerebral hemodynamics in various conditions,
- 67 including small-vessel disease, migraine, hypertension, and traumatic brain injury[11]. Even
- 68 when baseline flow velocities are within normal ranges, an abnormal CVR response may
- 69 indicate impaired endothelial or neurovascular function.
- 70 Several methods can be used to assess CVR, including acetazolamide administration, CO₂
- 71 inhalation, and the **breath-holding test (BHT)**. The BHT induces transient hypercapnia by
- voluntary apnea, causing vasodilation and increased cerebral blood flow [12,13]. The
- 73 magnitude of velocity change during apnea, expressed as a percentage of baseline and
- 74 adjusted for breath-holding time (time), yields the breath-holding index (BHI), a
- 75 quantitative marker of CVR. Reduced BHI values have been associated with increased stroke
- risk and poorer neurological outcomes in several populations [14-15].

- 77 Although TCD is widely available in critical care and anesthesia settings, its use in post-
- 78 COVID-19 patients has not been standardized, and data remain limited. Given the endothelial
- 79 tropism of SARS-CoV-2 and the evidence of microvascular injury during infection, it is
- 80 plausible that CVR could remain impaired after clinical recovery, even in the absence of
- 81 neurological symptoms.
- The present study aimed to evaluate CVR to CO₂ in patients who recovered from moderate or
- 83 severe COVID-19 using TCD and the breath-holding test. We hypothesized that post-
- 84 COVID-19 patients would show reduced vasodilatory response and lower BHI values
- 85 compared with non–COVID-19 controls, reflecting persistent endothelial dysfunction.

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Materials and methods:

1- Study design:

- 89 This prospective, observational, case-control study was conducted between **September 1**,
- 2021, and June 30, 2022, at Military Hospital of Dakhla in Morocco. The study aimed to
- 91 evaluate CVR to CO2 in patients who had recovered from COVID-19 and to compare the
- 92 results with a control group of non–COVID-19 subjects. The study protocol was approved by
- 93 the institutional ethics committee, and written informed consent was obtained from all
- 94 participants before inclusion.

2- Study Population

- A total of **75 participants** were included: **50 patients** who had recovered from moderate or
- 97 severe SARS-CoV-2 infection (post-COVID-19 group) and 25 control subjects without a
- 98 history of COVID-19.

a- Inclusion Criteria for the Post-COVID-19 Group

- 100 1. Age between **18 and 75 years**;
 - 2. Confirmed diagnosis of COVID-19 by positive RT-PCR on a nasopharyngeal swab;
 - 3. **Moderate or severe symptomatic disease** requiring hospitalization within the preceding **3 months**;
 - 4. Clinical recovery and negative RT-PCR at the time of inclusion.

b- Exclusion Criteria

- 106 1- Age <18 or >75 years;
 - 2- Pregnancy;
- History of cerebrovascular disease or neurological complications related to COVID-109 19;
- 4- Uncontrolled cardiovascular or respiratory disorders (ASA ≥ III) precluding breath holding;
- 5- Significant carotid or vertebro-basilar stenosis;
- 113 6- Current treatment with β -blockers, calcium-channel blockers, anticoagulants, or vasodilators.

115 **c- Control Group**

- 116 The control group consisted of 25 patients classified as ASA I–II who were evaluated in pre-
- anesthetic consultation for minor elective surgical procedures. They had no history of
- 118 COVID-19 within the previous 6 months and tested negative for SARS-CoV-2 by RT-PCR
- 119 at inclusion.

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- Among 125 patients screened in the pneumology outpatient clinic during the study period,
- 121 106 were seen within 3 months of recovery. Of these, 85 met inclusion and exclusion criteria,
- and 64 provided informed consent. Fourteen participants were excluded due to inadequate
- acoustic windows for transcranial Doppler imaging, resulting in **50 post–COVID-19 patients**
- included in the final analysis.

3- Data Collection and Clinical Assessment

- Demographic and clinical data were prospectively collected, including age, sex, body mass
- index, medical history (diabetes, hypertension, renal disease, obesity, alcohol use), and
- baseline vital parameters (blood pressure, heart rate, oxygen saturation).
- All participants underwent a complete physical and cardiovascular examination and a 12-lead
- electrocardiogram during the pre-anesthetic evaluation visit.

4- Transcranial Doppler Measurements

- All sonographic measurements were performed by the same operator, an experienced
- anesthesiologist–intensivist with six years of experience in TCD ultrasonography.
- Participants were examined in a quiet broom, lying in the **supine position**. Recordings were
- obtained using an Esaote ultrasound system (Italy) equipped with a 2 MHz probe. The
- insonation was performed through the temporal bone window to identify the middle
- cerebral artery (MCA) at a depth of 45–55 mm.
- Before data acquisition, a minimum of 30 seconds of stable MCA flow signals was required.
- Baseline systolic (Vs_r), diastolic (Vd_r), and mean (Vm_r) flow velocities, as well as the
- 140 pulsatility index (PI_r), were recorded at rest.
- Participants were then instructed to perform a breath-hold for 30 seconds after normal
- breathing to avoid the Valsalva maneuver. When apnea could not be maintained for 30
- seconds, the **exact breath holding time (BHT)** was recorded.
- A second set of Doppler measurements—Vs_a, Vd_a, Vm_a, and PI_a—was obtained 5 to
- 145 **10 seconds after the end of apnea**, while maintaining the probe in position. Each maneuver
- was repeated **three times**, allowing a 5-minute rest period between trials. The mean value of
- the three recordings for each variable was used for analysis.

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5- Calculation of Cerebral Vasoreactivity and Breath-Holding Index

- 150 Cerebral Vasoreactivity(CVR) to CO₂ was quantified using the percentage change in mean
- 151 flow velocity before and after the breath-holding test:
- 152 $CVR = (Vm_a-Vm_r) / Vm_r$
- 153 The **breath-holding index (BHI)** was calculated as:
- 154 $BHI = [(Vm_a-Vm_r) / Vm_r] / BHT(BHT : Breath Holding Time)$
- Both CVR and BHI were calculated separately for the right and left MCAs, and the mean of
- both sides was used for analysis.
 - 6- Statistical Analysis
- 158 Statistical analyses were performed using SPSS version 20.0 Quantitative variables were
- expressed as mean ± standard deviation (SD) or median [interquartile range, IQR]
- according to data distribution. Qualitative variables were presented as **percentages**.
- 161 Comparisons between groups were conducted using:
- **Student's t-test** for normally distributed quantitative data;
 - Mann–Whitney U test for non-normally distributed data;
- **Chi-square test** for qualitative variables.
- 165 A *p-value* < 0.05 was considered statistically significant.
- 166 **RESULTS:**

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- 1- Baseline Characteristics
- A total of **75 participants** were included: **50 post–COVID-19 patients** and **25 controls**.
- The patients were 59.7 ± 7.3 years old; 74.6% were male. The mean age of the patients and
- the sex distribution in the post-COVID-19 group were similar to those in the control group.
- 171 The cohort included 40% diabetic patients, 17% hypertensive patients, and 9.3% with renal
- disease, with similar incidences between the two groups. The patients' clinical characteristics
- are listed in Table 1.

	Total (n = 75)	Control (n = 25)	Post-COVID-19 (n = 50)	p
Clinicalcharacteristics				
Age (years), mean \pm SD	59.7 ± 7.3	58.5 ± 6.2	60.3 ± 7.7	0.35
Male sex (%)	56 (74.6%)	18 (72%)	38 (76%)	0.65
Medicalhistory, n (%)				
Diabetes	30 (40%)	11 (44%)	19 (38%)	0.53
Hypertension	13 (17%)	5 (20%)	8 (16%)	0.71
Renaldisease	7 (9.3%)	3 (12%)	4 (8%)	0.47
Alcoholism	4 (5.3%)	0 (0%)	4(8%)	0.001
Obesity	19 (25%)	4 (16%)	15 (30%)	0.001

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2- Transcranial Doppler Parameters at Rest

At rest mean flow velocities were significantly **lower** in the post–COVID-19 group compared with controls: (table2)

	Total	Control	Post-COVID-19	p
Systolic velocity (cm/s)	104 ± 10.5	112 ± 8.4	101 ± 12.5	0.001
Diastolic velocity (cm/s)	47 ± 6.7	50 ± 7.5	46 ± 5.6	0.001
Meanvelocity (cm/s)	65 ± 7.5	71 ± 6.3	63 ± 7.8	0.001
Pulsatility index	0.72 ± 0.05	0.74 ± 0.04	0.72 ± 0.07	0.45

178 Table 2: Flow velocities at rest (at the middle cerebral artery)

3- Response to Breath-Holding Test

All participants successfully completed the breath-holding maneuver.

After BHT, flow velocities in the MCA increased significantly in both groups. However, the magnitude of increase was markedly lower among post–COVID-19 patients: Table 3

	Total	Control	Post-COVID-19	p
Systolic velocity (cm/s)	137 ± 10.5	151 ± 9.5	110 ± 10.7	0.001
Diastolic velocity (cm/s)	68 ± 5.8	75 ± 6.5	54 ± 4.5	0.001
Meanvelocity (cm/s)	90 ± 7.3	95 ± 5.8	73 ± 8.1	0.001
Pulsatility index	0.73 ± 0.05	0.74 ± 0.04	0.74 ± 0.08	0.39
Breath Holding Time (s)	27.6	27.6	26.8	0.53

Table 3: flow velocities after BHT (at the middle cerebral artery)

4- Cerebrovascular Reactivity and Breath-Holding Index

The **Cerebral Vasoreactivity** (**CVR**) and **breath-holding index** (**BHI**) were both significantly impaired in post–COVID-19 patients compared with controls (table4).

Parameters	Control (n = 25)	Post-COVID $(n = 50)$	p
ΔSV (%) —Change in systolic velocity	35%	8%	< 0.01
ΔDV (%) — Change in diastolic velocity	50%	17%	< 0.01
ΔMV (%) — Change in mean velocity	39%	15%	< 0.01
BHI	1.41	0.55	< 0.01

Table 4: comparison of cerebral vasoreactivity and BHI between the 2 groups

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189 **Discussion:**

- 190 In our study CVR to CO2 was assessed using TCD by measuring systolic, diastolic, and mean
- velocities in the middle cerebral arteries before and after BHT combined with the calculation
- of BHI. These recordings were performed in patients with moderate or severe COVID-19 and
- in a control group of non-COVID-19 patients. We were able to show that the various velocities
- were significantly lower in the post-COVID-19 group, both at rest and after BHT.
- In the post-COVID-19 group, the relatively slower accelerations of the various velocities after
- 196 BHT resulted in a lower BHI in this group, indicating impaired CVR with a weak
- vasodilatory response to hypercapnia. Cerebral autoregulation is a homeostatic phenomenon
- that maintains constant cerebral blood flow despite fluctuations in cerebral perfusion pressure
- 199 [16].
- 200 Changes in vascular tone play a key role in preserving cerebral hemodynamics. Cerebral
- 201 blood flow is particularly sensitive to CO2 fluctuations, such that hypercapnia induces
- 202 cerebral vasodilation while hypocapnia induces cerebral vasoconstriction. The mechanisms of
- 203 cerebral autoregulation remain poorly understood. It is estimated that three different
- 204 mechanisms—metabolic, myogenic, and neurogenic—contribute to the phenomenon of
- 205 cerebral autoregulation. These mechanisms affect cerebral blood flow, thereby ensuring
- regulation [17].
- 207 Portegies et al. showed that decreased CVR was associated with increased mortality [18].
- Similarly, Ju et al. also reported that decreased CVR was an important prognostic factor for
- stroke [19]. Other authors have shown that in hypertensive patients, without neurological
- signs but with low CVR there is an increased risk of stroke and lacunar infarction compared
- 211 to hypertensive patients of the same age with normal CVR [20].
- The endothelium has been described as the "Achilles' heel" of patients with COVID-19 [21].
- 213 Cytokines and pro-inflammatory mediators shift endothelial function from a state of
- 214 homeostasis to a state of defense [22], and the microvascular lesions found in the brain and
- 215 olfactory bulbs of patients who died from COVID-19 show that the virus attacks the
- endothelium of brain vessels and can cause disruptions in vasoreactivity [8].
- 217 Sonkaya et al assessed CVR in 20 hospitalized COVID-19 patients with neurological
- 218 symptoms (headache, seizures, stroke, altered consciousness, ageusia, anosmia) and compared
- 219 it with a control group. He found higher velocities and lower CVR—assessed by transcranial
- Doppler ultrasound—compared to the control group [23].
- These results are consistent with ours, except that the participants in our study were assessed
- long after the episode of COVID-19 infection, having fully recovered, and did not have
- 223 neurological symptoms.
- 224 Marcic et al studied CVR using TCD and calculated the BHI in 25 patients who had
- 225 recovered from mild COVID-19, and presented to neurology clinic for neurological
- symptoms 28 to 50 days after a negative SARS-CoV-2 RT-PCR test. These patients had
- lower cerebral velocities and lower BHI compared to a control group, which is also consistent
- with our findings[24].
- 229 Abdo-Cuza et al also included patients who presented with different clinical forms of COVID-
- 230 19 several days after their recovery, and who did not present with neurological or
- cardiorespiratory symptoms at the time of inclusion in the study. They were able to show that

- 232 the decrease in cerebral velocities and the BHI indicative of auto-regulation disruption
- persisted after the acute phase of the disease. They also reported that these abnormalities
- 234 could exist even in the absence of neurological manifestations, and that this endothelial
- damage could occur even after a mild form of COVID-19 [25].
- Our study also focused on neurologically asymptomatic patients who presented with moderate
- or severe forms of COVID-19. CVR disruption is an expression of endothelial damage
- characteristic of SARS-CoV-2 infection. It could represent a warning sign in certain groups of
- 239 patients without risk factors for cerebrovascular accidents and who are neurologically
- asymptomatic.

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- 241 For clinicians in critical care and perioperative settings, these findings have several
- 242 practical implications:
- 1. **Persistent microvascular dysfunction** may increase the risk of cerebrovascular events (ischemic stroke, hypoperfusion, or postoperative delirium) in patients recovering from COVID-19, even when neurological examination is normal.
 - 2. **Transcranial Doppler ultrasound (TCD)** provides a **noninvasive bedside tool** for monitoring cerebral hemodynamics in the ICU or during anesthesia. Regular CVR assessment could help identify high-risk patients who may benefit from optimized hemodynamic management or endothelial-protective strategies.
 - 3. In the context of **neurocritical care**, impaired CVR may contribute to poor neurological outcomes following secondary insults such as hypoxia, hypercapnia, or hypotension. Awareness of this vulnerability is crucial during mechanical ventilation or weaning in post–COVID-19 patients.
- 254 Furthermore, persistent endothelial dysfunction has been described in other organs—
- 255 including the heart, kidneys, and lungs—suggesting a systemic microangiopathy that may
- underlie long-COVID manifestations such as fatigue, cognitive impairment, and exercise
- 257 intolerance.

Study Limitations:

- 259 This study has several limitations that should be acknowledged. First, the sample size was
- 260 relatively small and drawn from a single center, which may limit generalizability.
- Second, we did not perform longitudinal follow-up, so the duration of cerebrovascular
- 262 reactivity impairment over time remains unknown.
- 263 Third, the breath-holding test relies on voluntary cooperation and may introduce variability,
- 264 though we minimized this by averaging three consecutive measurements.
- Despite these limitations, the study's homogeneous methodology, single-operator Doppler
- acquisition, and use of **objective quantitative indices** strengthen the reliability of the results.

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Conclusion

270 271 272	In summary, this study demonstrates that patients recovered from moderate or severe COVID-19 exhibit significantly reduced cerebrovascular reactivity to CO ₂ , as evidenced by lower CVR and BHI values compared with control group.
273 274 275 276	These findings support the hypothesis that persistent cerebral endothelial dysfunction may represent a key pathophysiological mechanism in the post–COVID-19 period. The impairment appears proportional to the severity of the initial infection and may contribute to long-term neurological vulnerability.
277 278 279 280	Routine bedside evaluation of cerebrovascular reactivity using transcranial Doppler could provide a valuable tool for early detection of subclinical microvascular injury in post—COVID-19 patients, especially those admitted to intensive care units or undergoing anesthesia .
281 282	Further large-scale and longitudinal studies are needed to determine whether this dysfunction is reversible and to evaluate its impact on long-term cognitive and neurological outcomes.
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